

## Usage Guideline

# OneClearstream - Meeting Instruction - - SEEV.004 (SR2024)

## OneClearstream - General Meeting Messages (SR2024) - Clients

This document describes a usage guideline restricting the base message **MX seev.004.001.09**. You can also consult [this information online](#).

Published by Clearstream and generated by [MyStandards](#).

24 September 2024

# Table of Contents

<b>Message Functionality</b> .....	3
<b>1 Restriction summary</b> .....	4
<b>2 Structure</b> .....	5
<b>3 Message Building Blocks</b> .....	7
<b>4 Message Components</b> .....	17
<b>5 Message Datatypes</b> .....	101
<b>Legal Notices</b> .....	137

---

## Message Functionality

### Overview

The Meeting Instruction message is sent to Clearstream by a client/account holder of Clearstream holding the right to vote, requesting Clearstream to act upon one or several instructions.

### Collection Description

This Usage Guideline includes the ISO 20022 message structures which are initially introduced by Clearstream for general meetings during the SCE Project in November 2024.

The focus in this section lies on messages which are sent between Clearstream and Clearstream clients (that is, account holders or their respective third-party service providers).

DISCLAIMER: Please note that these message structures have been created based on the current understanding. Not all discussions and testing procedures have been finalised. As such, the message structures are subject to change and have to be considered as a preliminary version.

### Outline

The OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Instruction - SEEV.004 (SR2024) message is composed of 9 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

b. MeetingInstructionIdentification

Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

c. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

d. FinancialInstrumentIdentification

Security for which the meeting is organised.

e. InstructionCancellationRequestIdentification

Identification of the cancellation request message requesting cancellation of individual instruction(s).

f. CancelledInstructionIdentification

Identification of the message and individual instruction(s) for which the cancellation was requested.

g. OtherDocumentIdentification

Identification of other messages/documents as well as the messages/documents number.

h. Instruction

The position of the instructing party and the action that it wants to take.

i. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

# 1 Restriction summary

This Usage Guideline restricts the seev.004.001.09 message.

Restriction Type	See page
Removed elements	24, 31, 31, 31, 35, 49, 50, 50, 52, 52, 52, 77, 93, 99, 99, 100, 128, 134, 134, 135
Make mandatory	31, 33, 37, 76, 83
Reduce Multiplicity	n.a.
Ignore	15, 15, 16, 29, 42, 43, 44, 77, 77, 79, 84, 84, 123, 123, 123, 123, 124, 124, 124, 125, 125, 125, 125, 126, 126, 126
Text rules	74, 76, 124
Conditional rules	78
Formal rules	7, 7, 7, 7, 7, 8, 8, 8, 8, 8, 8, 9, 9, 9, 9, 9, 9, 9, 10, 10, 10, 10, 10, 10, 11, 11, 11, 11, 11, 11, 12, 12, 12, 12, 12
Fixed values	n.a.
Truncates	n.a.
Comments	113, 114, 133, 134
Annotations	n.a.
Changed datatypes	n.a.
Extensions	n.a.
Synonyms	n.a.

## 2 Structure

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>MeetingInstructionV09</i>	<MtgInstr>		[1..1]	<span style="color: orange;">⚠</span>	7
	<b>Pagination</b>	<Pgntn>		[0..1]		12
	PageNumber	<PgNb>		[1..1]		48
	LastPageIndicator	<LastPgInd>		[1..1]		48
	<b>MeetingInstructionIdentification</b>	<MtgInstrId>		[0..1]		13
	<b>MeetingReference</b>	<MtgRef>		[1..1]		13
	MeetingIdentification	<MtgId>		[1..1]		41
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		41
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		41
	Type	<Tp>		[1..1]		41
	Classification	<Clssfctn>		[0..1]		41
	Location	<Lctn>		[0..5]	<span style="color: orange;">◇</span>	42
	Issuer	<Issr>		[0..1]	<span style="color: orange;">◇</span>	42
	<b>FinancialInstrumentIdentification</b>	<FinInstrmId>		[1..1]		14
	ISIN	<ISIN>		[0..1]	<span style="color: blue;">M</span>	83
	OtherIdentification	<OthrId>		[0..*]	<span style="color: orange;">◇</span>	83
	Description	<Desc>		[0..1]	<span style="color: orange;">◇</span>	84
	<b>InstructionCancellationRequestIdentification</b>	<InstrCxlReqId>		[0..*]		14
	MeetingInstructionCancellationRequestIdentification	<MtgInstrCxlReqId>		[1..1]		39
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		39
	<b>CancelledInstructionIdentification</b>	<CanclInstrId>		[0..*]	<span style="color: orange;">◇</span>	14
	MeetingInstructionIdentification	<MtgInstrId>		[1..1]		40
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		40
	<b>OtherDocumentIdentification</b>	<OthrDocId>		[0..*]	<span style="color: orange;">◇</span>	15
	Identification	<Id>		[1..1]		19
	DocumentNumber	<DocNb>		[0..1]		20
	LinkageType	<LkgTp>		[0..1]		20

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<b>Instruction</b>	<Instr>		[1..*]		15
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		35
	RequestedExecutionDate	<ReqdExctnDt>		[0..1]	🚫	35
	VoteExecutionConfirmation	<VoteExctnConf>		[1..1]		35
	AccountDetails	<AcctDtls>		[1..1]		35
	Proxy	<Prxy>		[0..1]		36
	VoteDetails	<VoteDtls>		[0..1]		36
	MeetingAttendee	<MtgAttndee>		[0..*]		37
	SpecificInstructionRequest	<SpfcInstrReq>		[0..1]	M	37
	<b>SupplementaryData</b>	<SplmtryData>		[0..*]	◇	16
	PlaceAndName	<PlcAndNm>		[0..1]		86
	Envelope	<Envlp>		[1..1]		86

## 3 Message Building Blocks

**Note** The following chapter identifies the building blocks of the OneClearstream - General Meeting Messages (SR2024) - Clients / OneClearstream - Meeting Instruction - SEEV.004 (SR2024) message definition.

### Usage Guideline on Root Node

- on seev.004.001.09/

- **Registration:**

When the securities are already registered, the Company Register Shareholder Identification should be filled.  
When a registration is requested, the Securities Registration indicator should be filled.  
Both fields cannot be filled at the same time.

----

For each [Full Message/Document/MeetingInstructionV09], the following elements are mutually exclusive: [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/NaturalPerson/CompanyRegisterShareholderIdentification] , [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] and all may be absent

- on seev.004.001.09/

- **Person Details mandatory for Attendance Card Delivery Method INDI:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Individual] is present

- on seev.004.001.09/

- **Country Of Incorporation is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/LegalPerson/CountryOfIncorporation] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.09/

- **Employing Party mandatory for Attendance Card Delivery Method EMPL:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/EmployingParty] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/Employer] is present

- on seev.004.001.09/

- **Address is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/LegalPer-

son/NameAndAddress/Address] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- **on seev.004.001.09/**

- **Person Details mandatory for Proxy Type DISC:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/ProxyType/Discretionary] is present

- **on seev.004.001.09/**

- **Other Address mandatory for Attendance Card Delivery Method OADR:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/AttendanceCardDetails/OtherAddress] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/OtherAddress] is present

- **on seev.004.001.09/**

- **Email Address mandatory for Attendance Card Delivery Method EMAL:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/PreassignedProxy/NaturalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Email] is present

- **on seev.004.001.09/**

- **Person Details mandatory for Proxy Type HLDR:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/ProxyType/SecurityHolder] is present

- **on seev.004.001.09/**

- **Participation Method:**

The "Participation Method" is mandatory to fill when "Securities Registration" is absent.

That is, only in case of "registration only"-requests can the "Participation Method" be empty

----

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod] must be present when [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is absent

- **on seev.004.001.09/**

- **Street Name is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/LegalPer-



[son/NameAndAddress/Address/StreetName] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.09/

- **Vote Option DISC only allowed for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VoteForAllAgendaResolutions/Type/Discretionary] cannot be present when [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is absent

- on seev.004.001.09/

- **Proxy forbidden for Participation Method EVOT:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/Proxy] cannot be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/ElectronicVoting] is present

- on seev.004.001.09/

- **Address is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/Address] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.09/

- **Employing Party mandatory for Attendance Card Delivery Method EMPL:**

Ignored otherwise

----

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/EmployingParty] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Employer] is present

- on seev.004.001.09/

- **Meeting Instruction Identification is mandatory if Pagination is present:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/MeetingInstructionIdentification] must be present when at least one occurrence of [MeetingInstructionV09/Pagination] is present

- on seev.004.001.09/

- **Other Address mandatory for Attendance Card Delivery Method OADR:**

Ignored otherwise

----

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/AttendanceCardDetails/OtherAddress] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/OtherAddress] is present

- **on seev.004.001.09/**

- **Name Prefix is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/NamePrefix] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- **on seev.004.001.09/**

- **Meeting Attendee forbidden for Participation Method EVOT:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/MeetingAttendee] cannot be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/ElectronicVoting] is present

- **on seev.004.001.09/**

- **Meeting Attendee forbidden for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/MeetingAttendee] cannot be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is present

- **on seev.004.001.09/**

- **Proxy forbidden for Participation Method PHYS:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/Proxy] cannot be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/InPerson] is present

- **on seev.004.001.09/**

- **Email Address mandatory for Attendance Card Delivery Method EMAL:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/Identification/LegalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/Email] is present

- **on seev.004.001.09/**

- **Vote Option DISC only allowed for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/VoteDetails/VoteInstructionForMeetingResolution/VoteIndication/Type/Discretionary] cannot be present when [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is absent

- **on seev.004.001.09/**

- **Date And Place Of Birth is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/NaturalPerson/DateAndPlaceOfBirth] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.09/

- **Meeting Attendee mandatory for Participation Method PHYS:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/InPerson] is present

- on seev.004.001.09/

- **Securities Registration and Participation Method PHYS cannot be used in the same message:**

For each [Full Message/Document/MeetingInstructionV09], the following elements are mutually exclusive: [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/InPerson] , [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] and all may be absent

- on seev.004.001.09/

- **Building Number is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/LegalPerson/NameAndAddress/Address/BuildingNumber] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.09/

- **Nationality is mandatory for Registration requests:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/NaturalPerson/Nationality] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] is present

- on seev.004.001.09/

- **Vote Option DISC only allowed for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/VoteInstruction/Discretionary] cannot be present when [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is absent

- on seev.004.001.09/

- **Email Address mandatory for Attendance Card Delivery Method EMAL:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/MeetingAttendee/Identification/NaturalPerson/EmailAddress] must be present when at least one occurrence of [Meet-

ingInstructionV09/Instruction/MeetingAttendee/AttendanceCardDetails/DeliveryMethod/Email] is present

- **on seev.004.001.09/**

- **Registration:**

When the securities are already registered, the Company Register Shareholder Identification should be filled.

When a registration is requested, the Securities Registration indicator should be filled.

Both fields cannot be filled at the same time.

----

For each [Full Message/Document/MeetingInstructionV09], the following elements are mutually exclusive: [MeetingInstructionV09/Instruction/AccountDetails/RightsHolder/LegalPerson/CompanyRegisterShareholderIdentification] , [MeetingInstructionV09/Instruction/SpecificInstructionRequest/SecuritiesRegistration] and all may be absent

- **on seev.004.001.09/**

- **Email Address mandatory for Attendance Card Delivery Method EMAL:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/Preassigned-Proxy/LegalPerson/EmailAddress] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/Proxy/PersonDetails/AttendanceCardDetails/DeliveryMethod/Email] is present

- **on seev.004.001.09/**

- **Proxy mandatory for Participation Method PRXY:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/Proxy] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Proxy] is present

- **on seev.004.001.09/**

- **CFOR forbidden if Listing Group Resolution Labels are used:**

For each [Full Message/Document/MeetingInstructionV09], [MeetingInstructionV09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VoteForAllAgendaResolutions/Type/For] cannot be present when at least one occurrence of [MeetingInstructionV09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/VoteInstruction/ListingGroupResolutionLabel] is present

- **on seev.004.001.09/**

- **Vote Details mandatory for Participation Method EVOT:**

For each [Full Message/Document/MeetingInstructionV09], at least one occurrence of [MeetingInstructionV09/Instruction/VoteDetails] must be present when at least one occurrence of [MeetingInstructionV09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/ElectronicVoting] is present

## 3.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

The **Pgntn** block contains the following elements (see datatype "Pagination1" on page 47 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		48
	LastPageIndicator	<LastPgInd>		[1..1]		48

## 3.2 MeetingInstructionIdentification

XML Tag: MtgInstrId

Presence: [0..1]

Definition: Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 109

## 3.3 MeetingReference

XML Tag: MtgRef

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

The **MtgRef** block contains the following elements (see datatype "MeetingReference10" on page 40 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		41
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		41
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		41
	Type	<Tp>		[1..1]		41
	Classification	<Clssfctn>		[0..1]		41
	Location	<Lctn>		[0..5]	◇	42
	Issuer	<Issr>		[0..1]	◇	42

## 3.4 FinancialInstrumentIdentification

*XML Tag:* FinInstrmId

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19](#)" on page 82 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	<b>M</b>	83
	OtherIdentification	<OthrId>		[0..*]	⬜	83
	Description	<Desc>		[0..1]	⬜	84

## 3.5 InstructionCancellationRequestIdentification

*XML Tag:* InstrCxlReqId

*Presence:* [0..\*]

*Definition:* Identification of the cancellation request message requesting cancellation of individual instruction(s).

The **InstrCxlReqId** block contains the following elements (see datatype "[MeetingInstructionCancellation1](#)" on page 39 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionCancellationRequestIdentification	<InstrCxlReqId>		[1..1]		39
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		39

## 3.6 CancelledInstructionIdentification

*XML Tag:* CanclInstrId

*Presence:* [0..\*]

*Definition:* Identification of the message and individual instruction(s) for which the cancellation was requested.

The **CanclInstrId** block contains the following elements (see datatype "[MeetingInstructionIdentification1](#)" on page 39 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionIdentification	<MtgInstrId>		[1..1]		40
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		40

**Usage Guideline details**

- This element(seev.004.001.09/CancelledInstructionIdentification) will be ignored by the Receiver.

### 3.7 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..\*]

Definition: Identification of other messages/documents as well as the messages/documents number.

The **OthrDocId** block contains the following elements (see datatype "DocumentIdentification32" on page 19 for details)

- 🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
- M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		19
	DocumentNumber	<DocNb>		[0..1]		20
	LinkageType	<LkgTp>		[0..1]		20

**Usage Guideline details**

- This element(seev.004.001.09/OtherDocumentIdentification) will be ignored by the Receiver.

### 3.8 Instruction

XML Tag: Instr


Presence: [1..\*]

Definition: The position of the instructing party and the action that it wants to take.

The **Instr** block contains the following elements (see datatype "Instruction7" on page 34 for details)

- 🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
- M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		35

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RequestedExecutionDate	<ReqdExctnDt>		[0..1]		35
	VoteExecutionConfirmation	<VoteExctnConf>		[1..1]		35
	AccountDetails	<AcctDtls>		[1..1]		35
	Proxy	<Prxy>		[0..1]		36
	VoteDetails	<VoteDtls>		[0..1]		36
	MeetingAttendee	<MtgAttndee>		[0..*]		37
	SpecificInstructionRequest	<SpfcInstrReq>		[0..1]	<b>M</b>	37






## 3.9 SupplementaryData

XML Tag: SplmtryData

Presence: [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on [page 86](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		86
	Envelope	<Envlp>		[1..1]		86

### Usage Guideline details

- This element(seev.004.001.09/SupplementaryData) will be ignored by the Receiver.



## 4 Message Components

**Note** The following chapter identifies the message components.

### 4.1 AttendanceCard3

*Definition:* Specifies details related to the attendance card.

⊖ Removed - ⊖ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AttendanceCardLabelling	<AttdncCardLbllg>		[0..1]		17
	DeliveryMethod	<DlvryMtd>		[1..1]		17
	OtherAddress	<OthrAdr>		[0..1]		18

#### Rules

##### R1 OtherAddressCode1Rule ✓

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00084
- *Error Text:* Invalid message content for Delivery Method

#### Used in element(s)

["AttendanceCardDetails"](#) on page 32, ["AttendanceCardDetails"](#) on page 34

#### 4.1.1 AttendanceCardLabelling

*XML Tag:* AttdncCardLbllg

*Presence:* [0..1]

*Definition:* Information to be indicated on the attendance card.

*Datatype:* ["Max105Text"](#) on page 107

#### 4.1.2 DeliveryMethod

*XML Tag:* DlvryMtd

*Presence:* [1..1]

*Definition:* Specifies where the attendance card must be delivered.

*Datatype:* ["DeliveryPlace3Code"](#) on page 103

### 4.1.3 OtherAddress

*XML Tag:* OthrAdr

*Presence:* [0..1]

*Definition:* Name and address of the party.

The **OthrAdr** block contains the following elements (see datatype "[NameAndAddress9](#)" on page 45 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		45
	Address	<Adr>		[0..1]		45

## 4.2 DateAndPlaceOfBirth2

*Definition:* Date and place of birth of a person.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		18
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		18
	CityOfBirth	<CityOfBirth>		[0..1]		19
	CountryOfBirth	<CtryOfBirth>		[0..1]		19

**Used in element(s)**

["DateAndPlaceOfBirth"](#) on page 57, ["DateAndPlaceOfBirth"](#) on page 60

### 4.2.1 BirthDate

*XML Tag:* BirthDt

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* ["ISODate"](#) on page 106

### 4.2.2 ProvinceOfBirth

*XML Tag:* PrvcOfBirth

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* ["Max35Text"](#) on page 109

### 4.2.3 CityOfBirth

XML Tag: CityOfBirth

Presence: [0..1]

Definition: City where a person was born.

Datatype: ["Max35Text" on page 109](#)

### 4.2.4 CountryOfBirth

XML Tag: CtryOfBirth

Presence: [0..1]

Definition: Country where a person was born.

Datatype: ["CountryCode" on page 102](#)

## 4.3 DocumentIdentification32

Definition: Identification of a document as well as the document number and type of link.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		19
	DocumentNumber	<DocNb>		[0..1]		20
	LinkageType	<LkgTp>		[0..1]		20

Used in element(s)

["OtherDocumentIdentification" on page 15](#)

### 4.3.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype ["DocumentIdentification3Choice" on page 20](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		21

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		21







### 4.3.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "[DocumentNumber5Choice](#)" on [page 21](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		22
	LongNumber	<LngNb>	Or	[1..1]		22
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		22







### 4.3.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.







The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice](#)" on [page 70](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		71
	Proprietary	<Prtry>	Or}	[1..1]		71

## 4.4 DocumentIdentification3Choice

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		21
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		21

**Used in element(s)**

"Identification" on page 19

#### 4.4.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "Max35Text" on page 109

#### 4.4.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId







Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "Max35Text" on page 109

### 4.5 DocumentNumber5Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		22
	LongNumber	<LngNb>	Or	[1..1]		22
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		22

**Rules**

#### R3 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

**Used in element(s)**

["DocumentNumber" on page 20](#)

## 4.5.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: ["Exact3NumericText" on page 104](#)

## 4.5.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: ["ISO20022MessageIdentificationText" on page 106](#)

## 4.5.3 ProprietaryNumber

XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.

The **PrtryNb** block contains the following elements (see datatype ["GenericIdentification36" on page 26](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		27

## 4.6 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		23
	FaceAmount	<FaceAmt>	Or}	[1..1]		23

**Used in element(s)**

["Quantity" on page 74](#)

## 4.6.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* ["DecimalNumber" on page 103](#)

## 4.6.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* ["ImpliedCurrencyAndAmount" on page 107](#)

## 4.7 FinancialInstrumentQuantity46Choice

*Definition:* Choice between formats for the quantity of security.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -

Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		23
	FaceAmount	<FaceAmt>	Or	[1..1]		24
	DigitalTokenUnit	<DgltTknUnit>	Or	[1..1]		24
	Code	<Cd>	Or}	[1..1]		24

**Rules**

**R4 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

**Used in element(s)**

["Quantity" on page 84](#)

### 4.7.1 Unit

*XML Tag:* Unit

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* ["DecimalNumber" on page 103](#)

## 4.7.2 FaceAmount

*XML Tag:* FaceAmt

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* ["ImpliedCurrencyAndAmount" on page 107](#)

## 4.7.3 DigitalTokenUnit

*XML Tag:* DgtlTknUnit

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* ["Max30DecimalNumber" on page 108](#)

### Usage Guideline details

- **This element(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/Balance/Quantity/DigitalTokenUnit) is removed.**

## 4.7.4 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* ["Quantity1Code" on page 120](#)

## 4.8 GenericIdentification13

*Definition:* Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠️ Details below -

**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25
	Issuer	<Issr>		[1..1]		25



**Used in element(s)**

"Proprietary" on page 43

### 4.8.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max4AlphaNumericText" on page 109

### 4.8.2 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 109

### 4.8.3 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 109

## 4.9 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

**Used in element(s)**

"Code" on page 72, "Proprietary" on page 30, "Proprietary" on page 49, "Proprietary" on page 71, "Proprietary" on page 99, "Proprietary" on page 100, "Type" on page 27

### 4.9.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* ["Exact4AlphaNumericText" on page 104](#)

## 4.9.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* ["Max35Text" on page 109](#)

## 4.9.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* ["Max35Text" on page 109](#)

## 4.10 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

❌ Removed - 
 ⊖ Partial Removed - 
 ◇ Ignored - 
 ⊖ Partial Ignored - 
 ⚠️ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		27

### Used in element(s)

"PledgeType" on page 66, "ProprietaryIdentification" on page 50, "ProprietaryIdentification" on page 52, "ProprietaryNumber" on page 22

### 4.10.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* ["Max35Text" on page 109](#)

### 4.10.2 Issuer

*XML Tag:* Issr

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* ["Max35Text" on page 109](#)

### 4.10.3 SchemeName

*XML Tag:* SchmeNm

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* ["Max35Text" on page 109](#)

## 4.11 GenericIdentification78

*Definition:* Identification expressed as a proprietary type and narrative description.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		27
	Identification	<Id>		[0..1]		27

**Used in element(s)**

["Proprietary" on page 80](#)

### 4.11.1 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype ["GenericIdentification30" on page 25](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

### 4.11.2 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

*Datatype:* ["Max35Text" on page 109](#)

## 4.12 HoldingBalance12

*Definition:* Net position of a segregated holding of a single security within the overall position held in the securities account, for example, sub-balance per status.

❌ Removed - ⊖ Partial Removed - ◇ Ignored - ⊖◇ Partial Ignored - ⚠️ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		28
	BalanceType	<BalTp>		[0..1]		28
	SafekeepingPlace	<SfkpgPlc>		[0..1]	◇	28

Used in element(s)

["InstructedBalance" on page 77](#)

### 4.12.1 Balance

*XML Tag:* Bal

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

The **Bal** block contains the following elements (see datatype ["SignedQuantityFormat15" on page 84](#) for details)

❌ Removed - ⊖ Partial Removed - ◇ Ignored - ⊖◇ Partial Ignored - ⚠️ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		84
	Quantity	<Qty>		[1..1]		84

### 4.12.2 BalanceType

*XML Tag:* BalTp

*Presence:* [0..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* ["SecuritiesEntryType2Code" on page 122](#)

### 4.12.3 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat28Choice](#)" on page 79 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		79
	Country	<Ctry>	Or	[1..1]		80
	TypeAndIdentification	<TpAndId>	Or	[1..1]		80
	Proprietary	<Prtry>	Or}	[1..1]		80

**Usage Guideline details**

- This element(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/Safekeeping-Place) will be ignored by the Receiver.

## 4.13 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29
	Proprietary	<Prtry>	Or}	[1..1]		30

**Used in element(s)**

"Type" on page 47

### 4.13.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "[ExternalFinancialInstrumentIdentificationType1Code](#)" on page 104

## 4.13.2 Proprietary

*XML Tag:* Prtry







*Presence:* [1..1]


*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

*Datatype:* ["Max35Text" on page 109](#)

## 4.14 IdentificationType45Choice

*Definition:* Choice between a code and a data source scheme to specify the type of natural person identification.

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		30
	Proprietary	<Prtry>	Or}	[1..1]		30

Used in element(s)

["IdentificationType" on page 46](#)

### 4.14.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Type of identification expressed as a code.

*Datatype:* ["TypeOfIdentification4Code" on page 129](#)







### 4.14.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Type of identification expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 25](#) for details)

 Removed - 
  Partial Removed - 
  Ignored - 
  Partial Ignored - 
  Details below - 
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

Usage Guideline details

- This element(seev.004.001.09/Instruction/MeetingAttendee/Identification/NaturalPerson/Identification/IdentificationType/Proprietary) is removed.
- This element(seev.004.001.09/Instruction/AccountDetails/RightsHolder/NaturalPerson/Identification/IdentificationType/Proprietary) is removed.
- This element(seev.004.001.09/Instruction/Proxy/PersonDetails/PreassignedProxy/NaturalPerson/Identification/IdentificationType/Proprietary) is removed.

## 4.15 IndividualPerson41

*Definition:* Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]	<b>M</b>	31
	ParticipationMethod	<PrtcpnMtd>		[0..1]		32
	EmployingParty	<EmplngPty>		[0..1]		32
	AttendanceCardDetails	<AtndncCardDtls>		[1..1]		32

Used in element(s)

"MeetingAttendee" on page 37

### 4.15.1 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

The **Id** block contains the following elements (see datatype "PartyIdentification232Choice" on page 55 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		55
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		55

Usage Guideline details

- on seev.004.001.09/Instruction/MeetingAttendee/Identification

This element is now mandatory, the minimum occurrence has been changed to : 1

## 4.15.2 ParticipationMethod

XML Tag: PrtcptnMtd

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

Datatype: "[VotingParticipationMethod2Code](#)" on page 133

## 4.15.3 EmployingParty

XML Tag: EmplngPty

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

The **EmplngPty** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 49 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		49
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	<span style="color: red;">⊘</span>	50
	NameAndAddress	<NmAndAdr>	Or	[1..1]		50
	LEI	<LEI>	Or}	[1..1]		50

## 4.15.4 AttendanceCardDetails

XML Tag: AttndncCardDtls

Presence: [1..1]

Definition: Details related to the attendance card.

The **AttndncCardDtls** block contains the following elements (see datatype "[AttendanceCard3](#)" on page 17 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AttendanceCardLabelling	<AttndncCardLbllg>		[0..1]		17
	DeliveryMethod	<DivryMtd>		[1..1]		17
	OtherAddress	<OthrAdr>		[0..1]		18



## 4.16 IndividualPerson42

*Definition:* Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]	<span style="color: blue;">M</span>	33
	EmployingParty	<EmplngPty>		[0..1]		33
	AttendanceCardDetails	<AttndncCardDtls>		[1..1]		34

### Used in element(s)

"PersonDetails" on page 73

### 4.16.1 PreassignedProxy

*XML Tag:* PrssgndPrxy

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

The **PrssgndPrxy** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on page 55 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		55
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		55

### Usage Guideline details

- ⊘ on [seev.004.001.09/Instruction/Proxy/PersonDetails/PreassignedProxy](#)  
 This element is now mandatory, the minimum occurrence has been changed to : 1

### 4.16.2 EmployingParty

*XML Tag:* EmplngPty

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.

The **EmplngPty** block contains the following elements (see datatype "[PartyIdentification129Choice](#)" on page 49 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		49
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	<span style="color: red;">⊘</span>	50
	NameAndAddress	<NmAndAdr>	Or	[1..1]		50
	LEI	<LEI>	Or}	[1..1]		50

### 4.16.3 AttendanceCardDetails

*XML Tag:* AttndncCardDtIs

*Presence:* [1..1]

*Definition:* Details related to the attendance card.

The **AttndncCardDtIs** block contains the following elements (see datatype "AttendanceCard3" on page 17 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AttendanceCardLabelling	<AttndncCardLbllg>		[0..1]		17
	DeliveryMethod	<DivryMtd>		[1..1]		17
	OtherAddress	<OthrAdr>		[0..1]		18

## 4.17 Instruction7

*Definition:* Information about a meeting vote instruction.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		35
	RequestedExecutionDate	<ReqdExctnDt>		[0..1]	<span style="color: red;">⊘</span>	35
	VoteExecutionConfirmation	<VoteExctnConf>		[1..1]		35
	AccountDetails	<AcctDtIs>		[1..1]		35
	Proxy	<Prxy>		[0..1]		36
	VoteDetails	<VoteDtIs>		[0..1]		36
	MeetingAttendee	<MtgAttndee>		[0..*]		37
	SpecificInstructionRequest	<SpfcInstrReq>		[0..1]	<span style="color: blue;">M</span>	37

## Rules

### R5 MeetingAttendeeRule ✓

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00428
- *Error Text:* In Person participation method should be set.

### Used in element(s)

["Instruction" on page 15](#)

## 4.17.1 SingleInstructionIdentification

*XML Tag:* SnglInstrId

*Presence:* [1..1]

*Definition:* Identification of the individual instruction.

*Datatype:* ["Max35Text" on page 109](#)

## 4.17.2 RequestedExecutionDate

*XML Tag:* ReqdExctnDt

*Presence:* [0..1]

*Definition:* Date at which the instruction must be executed.

*Datatype:* ["ISODatetime" on page 106](#)

### Usage Guideline details

- This element([seev.004.001.09/Instruction/RequestedExecutionDate](#)) is removed.

## 4.17.3 VoteExecutionConfirmation

*XML Tag:* VoteExctnConf

*Presence:* [1..1]

*Definition:* Indicates that a vote execution confirmation is requested.

*Datatype:* ["YesNoIndicator" on page 136](#)

## 4.17.4 AccountDetails

*XML Tag:* AcctDtIs

*Presence:* [1..1]

*Definition:* Identification of the securities account.

The **AcctDtIs** block contains the following elements (see datatype "[SafekeepingAccount15](#)" on [page 75](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	<b>M</b> ⚠️	76
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	🚫	76
	AccountOwner	<AcctOwnr>		[0..1]	⬜	77
	SubAccountIdentification	<SubAcctId>		[0..1]	⬜	77
	InstructedBalance	<InstdBal>		[1..15]		77
	RightsHolder	<RghtsHldr>		[0..250]	⚠️	78
	PledgeDetails	<PldgDtIs>		[0..1]	⬜	78

## 4.17.5 Proxy

XML Tag: Prxy

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

The **Prxy** block contains the following elements (see datatype "[Proxy12](#)" on [page 72](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		73
	PersonDetails	<PrsnDtIs>		[0..1]	⚠️	73

## 4.17.6 VoteDetails

XML Tag: VoteDtIs

Presence: [0..1]

Definition: Detailed voting instructions.

The **VoteDtIs** block contains the following elements (see datatype "[VoteDetails6](#)" on [page 96](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionForAgendaResolution	<VoteInstrForAgndRsltn>		[1..1]		97

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionForMeetingResolution	<VoteInstrForMtgRsltn>		[0..1]		97

## 4.17.7 MeetingAttendee

XML Tag: MtgAttndee

Presence: [0..\*]

*Definition:* Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.

The **MtgAttndee** block contains the following elements (see datatype "IndividualPerson41" on page 31 for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[0..1]	<span style="color: blue;">M</span>	31
	ParticipationMethod	<PrtcpnMtd>		[0..1]		32
	EmployingParty	<EmplngPty>		[0..1]		32
	AttendanceCardDetails	<AttndncCardDtls>		[1..1]		32

## 4.17.8 SpecificInstructionRequest

XML Tag: SpcfcInstrReq

Presence: [0..1]

*Definition:* Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

The **SpcfcInstrReq** block contains the following elements (see datatype "SpecificInstructionRequest4" on page 85 for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcpnMtd>		[0..1]		85
	SecuritiesRegistration	<SctiesRegn>		[0..1]		86

### Usage Guideline details

- on seev.004.001.09/Instruction/SpecificInstructionRequest

*This element is now mandatory, the minimum occurrence has been changed to : 1*

## 4.18 LongPostalAddress2Choice

*Definition:* Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

🚫 Removed - !🚫 Partial Removed - ⬡ Ignored - !⬡ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unstructured	<Ustrd>	{Or	[1..1]		38
	Structured	<Strd>	Or}	[1..1]		38

### Guidelines

#### 1. StructuredOrUnstructured1Guideline

It is recommended that Structured be used.

### Used in element(s)

"Address" on page 45

### 4.18.1 Unstructured

*XML Tag:* Ustrd

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max350Text" on page 109

### 4.18.2 Structured

*XML Tag:* Strd

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

The **Strd** block contains the following elements (see datatype "PostalAddress1" on page 67 for details)

🚫 Removed - !🚫 Partial Removed - ⬡ Ignored - !⬡ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		67
	AddressLine	<AdrLine>		[0..5]		67
	StreetName	<StrtNm>		[0..1]		67
	BuildingNumber	<BldgNb>		[0..1]		68

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PostCode	<PstCd>		[0..1]		68
	TownName	<TwnNm>		[0..1]		68
	CountrySubDivision	<CtrySubDvsn>		[0..1]		68
	Country	<Ctry>		[1..1]		68

## 4.19 MeetingInstructionCancellation1

*Definition:* Identification of the cancellation request message requesting cancellation of individual instruction(s).

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionCancellationRequestIdentification	<MtgInstrCxlReqId>		[1..1]		39
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		39

Used in element(s)

["InstructionCancellationRequestIdentification" on page 14](#)

### 4.19.1 MeetingInstructionCancellationRequestIdentification

*XML Tag:* MtgInstrCxlReqId

*Presence:* [1..1]

*Definition:* Identification of the meeting instruction cancellation request message containing the individual instruction(s) for which the cancellation was requested.

*Datatype:* ["Max35Text" on page 109](#)

### 4.19.2 SingleInstructionIdentification

*XML Tag:* SnglInstrId

*Presence:* [1..1]

*Definition:* Identification of the individual instruction for which the cancellation was requested.

*Datatype:* ["Max35Text" on page 109](#)

## 4.20 MeetingInstructionIdentification1

*Definition:* Identification of the message and individual instruction(s) for which the cancellation was requested.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingInstructionIdentification	<MtgInstrId>		[1..1]		40
	SingleInstructionIdentification	<SnglInstrId>		[1..1]		40

**Used in element(s)**

"CancelledInstructionIdentification" on page 14

## 4.20.1 MeetingInstructionIdentification

*XML Tag:* MtgInstrId

*Presence:* [1..1]

*Definition:* Identification of the previous meeting instruction message containing the individual instruction(s) for which the cancellation was requested.

*Datatype:* "Max35Text" on page 109

## 4.20.2 SingleInstructionIdentification

*XML Tag:* SnglInstrId

*Presence:* [1..1]

*Definition:* Identification of the individual instruction for which the cancellation was requested.

*Datatype:* "Max35Text" on page 109

## 4.21 MeetingReference10

*Definition:* Identification of a meeting.

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MeetingIdentification	<MtgId>		[1..1]		41
	IssuerMeetingIdentification	<IssrMtgId>		[0..1]		41
	MeetingDateAndTime	<MtgDtAndTm>		[1..1]		41
	Type	<Tp>		[1..1]		41
	Classification	<Clsfctn>		[0..1]		41
	Location	<Lctn>		[0..5]	◇	42
	Issuer	<Issr>		[0..1]	◇	42

**Used in element(s)**

"MeetingReference" on page 13



## 4.21.1 MeetingIdentification

*XML Tag:* MtgId

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* ["Max35Text" on page 109](#)

## 4.21.2 IssuerMeetingIdentification

*XML Tag:* IssrMtgId

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* ["Max35Text" on page 109](#)

## 4.21.3 MeetingDateAndTime

*XML Tag:* MtgDtAndTm

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* ["ISODateTime" on page 106](#)

## 4.21.4 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* ["MeetingType4Code" on page 111](#)

## 4.21.5 Classification

*XML Tag:* Clssfctn

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

The **Clssfctn** block contains the following elements (see datatype ["MeetingTypeClassification2Choice" on page 43](#) for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		43
	Proprietary	<Prtry>	Or}	[1..1]	◇	43

## 4.21.6 Location

XML Tag: Lctn

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

The **Lctn** block contains the following elements (see datatype "PostalAddress1" on page 67 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		67
	AddressLine	<AdrLine>		[0..5]		67
	StreetName	<StrtNm>		[0..1]		67
	BuildingNumber	<BldgNb>		[0..1]		68
	PostCode	<PstCd>		[0..1]		68
	TownName	<TwnNm>		[0..1]		68
	CountrySubDivision	<CtrySubDvsn>		[0..1]		68
	Country	<Ctry>		[1..1]		68

### Usage Guideline details

- This element(seev.004.001.09/MeetingReference/Location) will be ignored by the Receiver.

## 4.21.7 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

The **Issr** block contains the following elements (see datatype "PartyIdentification129Choice" on page 49 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		49
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		50
	NameAndAddress	<NmAndAdr>	Or	[1..1]		50
	LEI	<LEI>	Or}	[1..1]		50

Usage Guideline details

- This element(seev.004.001.09/MeetingReference/Issuer) will be ignored by the Receiver.

## 4.22 MeetingTypeClassification2Choice

*Definition:* Choice of meeting type classification.

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		43
	Proprietary	<Prtry>	Or}	[1..1]	◇	43

**Used in element(s)**

["Classification" on page 41](#)

### 4.22.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a code.

*Datatype:* ["MeetingTypeClassification2Code" on page 111](#)

### 4.22.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification13" on page 24](#) for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	SchemeName	<SchmeNm>		[0..1]		25
	Issuer	<Issr>		[1..1]		25

## Usage Guideline details

- This element(seev.004.001.09/MeetingReference/Classification/Proprietary) will be ignored by the Receiver.

## 4.23 NameAndAddress5

*Definition:* Information that locates and identifies a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		44
	Address	<Adr>		[0..1]		44

### Used in element(s)

"NameAndAddress" on page 50

### 4.23.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 109

### 4.23.2 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress1" on page 67 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		67
	AddressLine	<AdrLine>		[0..5]		67
	StreetName	<StrtNm>		[0..1]		67
	BuildingNumber	<BldgNb>		[0..1]		68
	PostCode	<PstCd>		[0..1]		68

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TownName	<TwnNm>		[0..1]		68
	CountrySubDivision	<CtrySubDvsn>		[0..1]		68
	Country	<Ctry>		[1..1]		68

## 4.24 NameAndAddress9

*Definition:* Information that locates and identifies a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		45
	Address	<Adr>		[0..1]		45

**Used in element(s)**

"OtherAddress" on page 18, "Shareholder" on page 98

### 4.24.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* ["Max350Text" on page 109](#)

### 4.24.2 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of a party.

The **Adr** block contains the following elements (see datatype ["LongPostalAddress2Choice" on page 38](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unstructured	<Ustrd>	{Or	[1..1]		38
	Structured	<Strd>	Or}	[1..1]		38

## 4.25 NaturalPersonIdentification1

*Definition:* Identification number and identification type of a natural person.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	IdentificationType	<IdTp>		[0..1]		46

Used in element(s)

"Identification" on page 57, "Identification" on page 60

## 4.25.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Natural person local identification.

*Datatype:* "Max35Text" on page 109

## 4.25.2 IdentificationType

*XML Tag:* IdTp

*Presence:* [0..1]

*Definition:* Type of local identification for a natural person.

The **IdTp** block contains the following elements (see datatype "IdentificationType45Choice" on page 30 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		30
	Proprietary	<Prtry>	Or}	[1..1]	🚫	30

## 4.26 OtherIdentification1

*Definition:* Other accepted financial instrument's identification than ISIN.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Suffix	<Sfx>		[0..1]		47

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		47

**Used in element(s)**

"OtherIdentification" on page 83

## 4.26.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 109

## 4.26.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 108

## 4.26.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "IdentificationSource3Choice" on page 29 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		29
	Proprietary	<Prtry>	Or}	[1..1]		30

## 4.27 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		48
	LastPageIndicator	<LastPgInd>		[1..1]		48

**Used in element(s)**

["Pagination" on page 12](#)

## 4.27.1 PageNumber

*XML Tag:* PgNb

*Presence:* [1..1]

*Definition:* Page number.

*Datatype:* ["Max5NumericText" on page 110](#)

## 4.27.2 LastPageIndicator

*XML Tag:* LastPgInd

*Presence:* [1..1]

*Definition:* Indicates the last page.

*Datatype:* ["YesNoIndicator" on page 136](#)

## 4.28 ParticipationMethod3Choice

*Definition:* Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		48
	Proprietary	<Prtry>	Or}	[1..1]	<span style="color: red;">⊘</span>	49

**Used in element(s)**

["ParticipationMethod" on page 85](#)

### 4.28.1 Code

*XML Tag:* Cd

*Presence:* [1..1]

*Definition:* Method of participation in the general meeting vote expressed as a code.

*Datatype:* ["VotingParticipationMethod3Code" on page 133](#)



## 4.28.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 25 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

### Usage Guideline details

- This element(seev.004.001.09/Instruction/SpecificInstructionRequest/Participation-Method/Proprietary) is removed.

## 4.29 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		49
	ProprietaryIdentification	<PrtryId>	Or	[1..1]	⊘	50
	NameAndAddress	<NmAndAdr>	Or	[1..1]		50
	LEI	<LEI>	Or}	[1..1]		50

### Used in element(s)

"EmployingParty" on page 32, "EmployingParty" on page 33, "Issuer" on page 42

### 4.29.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "[AnyBICDec2014Identifier](#)" on page 102

## 4.29.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 26 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		27

### Usage Guideline details

- This element(seev.004.001.09/Instruction/Proxy/PersonDetails/EmployingParty/ProprietaryIdentification) is removed.
- This element(seev.004.001.09/Instruction/MeetingAttendee/EmployingParty/ProprietaryIdentification) is removed.

## 4.29.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5](#)" on page 44 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		44
	Address	<Adr>		[0..1]		44

## 4.29.4 LEI

XML Tag: LEI

Presence: [1..1]

*Definition:* Legal entity identification of the party.

*Datatype:* ["LEIIdentifier" on page 107](#)

## 4.30 PartyIdentification198Choice

*Definition:* Identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		51
	LEI	<LEI>	Or	[1..1]		51
	AnyBIC	<AnyBIC>	Or	[1..1]		51
	ClientIdentification	<ClntId>	Or	[1..1]		51
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]	<span style="color: red;">⊘</span>	52

**Used in element(s)**

["Identification" on page 53](#), ["Identification" on page 62](#)

### 4.30.1 NationalRegistrationNumber

*XML Tag:* NtlRegnNb

*Presence:* [1..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* ["Max35Text" on page 109](#)

### 4.30.2 LEI

*XML Tag:* LEI

*Presence:* [1..1]

*Definition:* Legal entity identifier of the party.

*Datatype:* ["LEIIdentifier" on page 107](#)

### 4.30.3 AnyBIC

*XML Tag:* AnyBIC

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Datatype:* ["AnyBICDec2014Identifier" on page 102](#)

### 4.30.4 ClientIdentification

XML Tag: ClntId

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 110

## 4.30.5 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 26 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		27

### Usage Guideline details

- This element([see v.004.001.09/Instruction/Proxy/PersonDetails/PreassignedProxy/LegalPerson/Identification/ProprietaryIdentification](#)) is removed.
- This element([see v.004.001.09/Instruction/MeetingAttendee/Identification/LegalPerson/Identification/ProprietaryIdentification](#)) is removed.
- This element([see v.004.001.09/Instruction/AccountDetails/RightsHolder/LegalPerson/Identification/ProprietaryIdentification](#)) is removed.

## 4.31 PartyIdentification221

Definition: Identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		53
	EmailAddress	<EmailAdr>		[0..1]		53
	Identification	<Id>		[1..1]		53

**Used in element(s)**

["LegalPerson" on page 54](#), ["LegalPerson" on page 55](#), ["LegalPersonIdentification" on page 87](#)

## 4.31.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype ["PersonName2" on page 63](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

## 4.31.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* ["Max256Text" on page 108](#)

## 4.31.3 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Identification of the party.

The **Id** block contains the following elements (see datatype ["PartyIdentification198Choice" on page 51](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		51
	LEI	<LEI>	Or	[1..1]		51
	AnyBIC	<AnyBIC>	Or	[1..1]		51
	ClientIdentification	<ClntId>	Or	[1..1]		51
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]	<span style="color: red;">⊘</span>	52

## 4.32 PartyIdentification231Choice

*Definition:* Identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		54
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		54

**Used in element(s)**

"AccountOwner" on page 77

### 4.32.1 LegalPerson

*XML Tag:* LglPrsn

*Presence:* [1..1]

*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 52 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		53
	EmailAddress	<EmailAdr>		[0..1]		53
	Identification	<Id>		[1..1]		53

### 4.32.2 NaturalPerson

*XML Tag:* NtrlPrsn

*Presence:* [1..\*]

*Definition:* Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 56 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		56
	EmailAddress	<EmailAdr>		[0..1]		57

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		57
	Nationality	<Ntlty>		[0..1]		57
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		57

## 4.33 PartyIdentification232Choice

*Definition:* Identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		55
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		55

**Used in element(s)**

"Identification" on page 31, "Pledger" on page 65, "PreassignedProxy" on page 33

### 4.33.1 LegalPerson

*XML Tag:* LglPrsn

*Presence:* [1..1]

*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification221](#)" on page 52 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		53
	EmailAddress	<EmailAdr>		[0..1]		53
	Identification	<Id>		[1..1]		53

### 4.33.2 NaturalPerson

*XML Tag:* NtrlPrsn

*Presence:* [1..1]

*Definition:* Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification238](#)" on page 56 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		56
	EmailAddress	<EmailAdr>		[0..1]		57
	Identification	<Id>		[1..1]		57
	Nationality	<Ntlty>		[0..1]		57
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		57

## 4.34 PartyIdentification238

*Definition:* Identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		56
	EmailAddress	<EmailAdr>		[0..1]		57
	Identification	<Id>		[1..1]		57
	Nationality	<Ntlty>		[0..1]		57
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		57

**Used in element(s)**

"NaturalPerson" on page 54, "NaturalPerson" on page 55

### 4.34.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "PersonName3" on page 64 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		64
	FirstName	<FrstNm>		[1..1]		64



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Surname	<Snm>		[1..1]		64
	Address	<Adr>		[0..1]		64

## 4.34.2 EmailAddress

XML Tag: EmailAdr

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: ["Max256Text" on page 108](#)

## 4.34.3 Identification

XML Tag: Id

Presence: [1..1]

Definition: Natural person local identification and type.

The **Id** block contains the following elements (see datatype ["NaturalPersonIdentification1" on page 45](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	IdentificationType	<IdTp>		[0..1]		46

## 4.34.4 Nationality

XML Tag: Ntlty

Presence: [0..1]

Definition: Nationality of the person.

Datatype: ["CountryCode" on page 102](#)

## 4.34.5 DateAndPlaceOfBirth

XML Tag: DtAndPlcOfBirth

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype ["DateAndPlaceOfBirth2" on page 18](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		18
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		18
	CityOfBirth	<CityOfBirth>		[0..1]		19
	CountryOfBirth	<CtryOfBirth>		[0..1]		19

## 4.35 PartyIdentification246Choice

*Definition:* Identification of a party.

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		58
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		58

Used in element(s)

["RightsHolder" on page 78](#)

### 4.35.1 LegalPerson

*XML Tag:* LglPrsn

*Presence:* [1..1]

*Definition:* Legal entity.

The **LglPrsn** block contains the following elements (see datatype "[PartyIdentification269](#)" on page 61 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		61
	EmailAddress	<EmailAdr>		[0..1]		62
	Identification	<Id>		[0..1]		62
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		62
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		62

### 4.35.2 NaturalPerson

*XML Tag:* NtrlPrsn

*Presence:* [1..\*]

*Definition:* Private person.

The **NtrlPrsn** block contains the following elements (see datatype "[PartyIdentification250](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		59
	EmailAddress	<EmailAdr>		[0..1]		60
	Identification	<Id>		[0..1]		60
	Nationality	<Ntlty>		[0..1]		60
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		60
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		61

## 4.36 PartyIdentification250

*Definition:* Identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		59
	EmailAddress	<EmailAdr>		[0..1]		60
	Identification	<Id>		[0..1]		60
	Nationality	<Ntlty>		[0..1]		60
	DateAndPlaceOfBirth	<DtAndPlcOfBirth>		[0..1]		60
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		61

**Used in element(s)**

"[NaturalPerson](#)" on page 58

### 4.36.1 NameAndAddress

*XML Tag:* NmAndAdr

*Presence:* [1..1]

*Definition:* Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName3](#)" on page 64 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		64
	FirstName	<FrstNm>		[1..1]		64
	Surname	<SrnM>		[1..1]		64
	Address	<Adr>		[0..1]		64

## 4.36.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 108

## 4.36.3 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Natural person local identification and type.

The **Id** block contains the following elements (see datatype "NaturalPersonIdentification1" on page 45 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		46
	IdentificationType	<IdTp>		[0..1]		46

## 4.36.4 Nationality

*XML Tag:* Ntlty

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Datatype:* "CountryCode" on page 102

## 4.36.5 DateAndPlaceOfBirth

*XML Tag:* DtAndPlcOfBirth

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.

The **DtAndPlcOfBirth** block contains the following elements (see datatype "[DateAndPlaceOfBirth2](#)" on [page 18](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BirthDate	<BirthDt>		[1..1]		18
	ProvinceOfBirth	<PrvcOfBirth>		[0..1]		18
	CityOfBirth	<CityOfBirth>		[0..1]		19
	CountryOfBirth	<CtryOfBirth>		[0..1]		19

## 4.36.6 CompanyRegisterShareholderIdentification

XML Tag: CpnyRegrShrhldrId

Presence: [0..1]

Definition: Identification of the shareholder in the company share register.

Datatype: "[Max35Text](#)" on [page 109](#)

## 4.37 PartyIdentification269

Definition: Identification of a party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		61
	EmailAddress	<EmailAdr>		[0..1]		62
	Identification	<Id>		[0..1]		62
	CompanyRegisterShareholderIdentification	<CpnyRegrShrhldrId>		[0..1]		62
	CountryOfIncorporation	<CtryOfIncorprtn>		[0..1]		62

Used in element(s)

["LegalPerson"](#) on [page 58](#)

### 4.37.1 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of the party.

The **NmAndAdr** block contains the following elements (see datatype "[PersonName2](#)" on [page 63](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

## 4.37.2 EmailAddress

*XML Tag:* EmailAdr

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 108

## 4.37.3 Identification

*XML Tag:* Id

*Presence:* [0..1]

*Definition:* Identification of the party.

The **Id** block contains the following elements (see datatype "[PartyIdentification198Choice](#)" on page 51 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -  
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NationalRegistrationNumber	<NtlRegnNb>	{Or	[1..1]		51
	LEI	<LEI>	Or	[1..1]		51
	AnyBIC	<AnyBIC>	Or	[1..1]		51
	ClientIdentification	<ClntId>	Or	[1..1]		51
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		52

## 4.37.4 CompanyRegisterShareholderIdentification

*XML Tag:* CpnyRegrShrhdId

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 109

## 4.37.5 CountryOfIncorporation

*XML Tag:* CtryOfIncorprtn

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Datatype:* ["CountryCode" on page 102](#)

## 4.38 PersonName2

*Definition:* Identifies a person via its first name and surname.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		63
	Address	<Adr>		[0..1]		63

**Used in element(s)**

["NameAndAddress" on page 53](#), ["NameAndAddress" on page 61](#)

### 4.38.1 Name

*XML Tag:* Nm

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* ["Max350Text" on page 109](#)

### 4.38.2 Address

*XML Tag:* Adr

*Presence:* [0..1]

*Definition:* Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress26" on page 68](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		69
	AddressLine	<AdrLine>		[0..5]		69
	StreetName	<StrtNm>		[0..1]		69
	BuildingNumber	<BldgNb>		[0..1]		69
	PostBox	<PstBx>		[0..1]		70
	PostCode	<PstCd>		[0..1]		70
	TownName	<TwnNm>		[0..1]		70

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CountrySubDivision	<CtrySubDvsn>		[0..1]		70
	Country	<Ctry>		[1..1]		70

## 4.39 PersonName3

*Definition:* Identifies a person via its first name and surname.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NamePrefix	<NmPrfx>		[0..1]		64
	FirstName	<FrstNm>		[1..1]		64
	Surname	<Snm>		[1..1]		64
	Address	<Adr>		[0..1]		64

Used in element(s)

"NameAndAddress" on page 56, "NameAndAddress" on page 59

### 4.39.1 NamePrefix

*XML Tag:* NmPrfx

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* "NamePrefix2Code" on page 113

### 4.39.2 FirstName

*XML Tag:* FrstNm

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 109

### 4.39.3 Surname

*XML Tag:* Snm

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 109

### 4.39.4 Address



XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "PostalAddress26" on page 68 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		69
	AddressLine	<AdrLine>		[0..5]		69
	StreetName	<StrtNm>		[0..1]		69
	BuildingNumber	<BldgNb>		[0..1]		69
	PostBox	<PstBx>		[0..1]		70
	PostCode	<PstCd>		[0..1]		70
	TownName	<TwnNm>		[0..1]		70
	CountrySubDivision	<CtrySubDvsn>		[0..1]		70
	Country	<Ctry>		[1..1]		70

## 4.40 PledgeInformation1

Definition: Information about the pledge and pledger.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Pledger	<Pldgr>		[1..1]		65
	ThirdParty	<ThrdPty>		[0..1]		66
	PledgeType	<PldgTp>		[1..1]		66
	ReturnSecuritiesIndicator	<RtrScitiesInd>		[0..1]		66

Used in element(s)

"PledgeDetails" on page 78

### 4.40.1 Pledger

XML Tag: Pldgr

Presence: [1..1]

Definition: Entity that provide assets to a counterparty as a guarantee.

The **Pldgr** block contains the following elements (see datatype "[PartyIdentification232Choice](#)" on page 55 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		55
	NaturalPerson	<NtrlPrsn>	Or}	[1..1]		55

## 4.40.2 ThirdParty

XML Tag: ThrdPty

Presence: [0..1]

Definition: Third party, usually a bank, involved in the pledge.

The **ThrdPty** block contains the following elements (see datatype "[ThirdPartyIdentification1](#)" on page 87 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Role	<Role>		[1..1]		87
	LegalPersonIdentification	<LglPrsnId>		[0..1]		87

## 4.40.3 PledgeType

XML Tag: PldgTp

Presence: [1..1]

Definition: Identifies the type of pledge.

The **PldgTp** block contains the following elements (see datatype "[GenericIdentification36](#)" on page 26 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		27

## 4.40.4 ReturnSecuritiesIndicator

XML Tag: RtrSctiesInd

Presence: [0..1]

*Definition:* Indicates whether the pledger is entitled to vote, otherwise the right to vote is with the right holder.

*Datatype:* ["YesNoIndicator"](#) on page 136

## 4.41 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		67
	AddressLine	<AdrLine>		[0..5]		67
	StreetName	<StrtNm>		[0..1]		67
	BuildingNumber	<BldgNb>		[0..1]		68
	PostCode	<PstCd>		[0..1]		68
	TownName	<TwnNm>		[0..1]		68
	CountrySubDivision	<CtrySubDvsn>		[0..1]		68
	Country	<Ctry>		[1..1]		68

**Used in element(s)**

["Address"](#) on page 44, ["Location"](#) on page 42, ["Structured"](#) on page 38

### 4.41.1 AddressType

*XML Tag:* AdrTp

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* ["AddressType2Code"](#) on page 101

### 4.41.2 AddressLine

*XML Tag:* AdrLine

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* ["Max70Text"](#) on page 110

### 4.41.3 StreetName

*XML Tag:* StrtNm

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* ["Max70Text" on page 110](#)

#### 4.41.4 BuildingNumber

*XML Tag:* BldgNb

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* ["Max16Text" on page 108](#)

#### 4.41.5 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* ["Max16Text" on page 108](#)

#### 4.41.6 TownName

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* ["Max35Text" on page 109](#)

#### 4.41.7 CountrySubDivision

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* ["Max35Text" on page 109](#)

#### 4.41.8 Country

*XML Tag:* Ctry







*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* ["CountryCode" on page 102](#)

### 4.42 PostalAddress26

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		69
	AddressLine	<AdrLine>		[0..5]		69
	StreetName	<StrtNm>		[0..1]		69
	BuildingNumber	<BldgNb>		[0..1]		69
	PostBox	<PstBx>		[0..1]		70
	PostCode	<PstCd>		[0..1]		70
	TownName	<TwnNm>		[0..1]		70
	CountrySubDivision	<CtrySubDvsn>		[0..1]		70
	Country	<Ctry>		[1..1]		70

**Used in element(s)**

"Address" on page 63, "Address" on page 64

## 4.42.1 AddressType

*XML Tag:* AdrTp

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 101

## 4.42.2 AddressLine

*XML Tag:* AdrLine

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 110

## 4.42.3 StreetName

*XML Tag:* StrtNm

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 110

## 4.42.4 BuildingNumber

*XML Tag:* BldgNb

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* ["Max16Text" on page 108](#)

## 4.42.5 PostBox

*XML Tag:* PstBx

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* ["Max16Text" on page 108](#)

## 4.42.6 PostCode

*XML Tag:* PstCd

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* ["Max16Text" on page 108](#)

## 4.42.7 TownName

*XML Tag:* TwnNm

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* ["Max35Text" on page 109](#)

## 4.42.8 CountrySubDivision

*XML Tag:* CtrySubDvsn

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* ["Max35Text" on page 109](#)

## 4.42.9 Country

*XML Tag:* Ctry







*Presence:* [1..1]

*Definition:* Nation with its own government.

*Datatype:* ["CountryCode" on page 102](#)

## 4.43 ProcessingPosition7Choice

*Definition:* Choice of format for the processing position.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		71
	Proprietary	<Prtry>	Or}	[1..1]		71

**Rules**

**R7 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

**Used in element(s)**

"LinkageType" on page 20

### 4.43.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 118

### 4.43.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 25 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

## 4.44 ProprietaryVote1

Definition: Proprietary vote type and quantity voted.

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		72
	Quantity	<Qty>		[1..1]		72

Used in element(s)

"Proprietary" on page 92

## 4.44.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

The **Cd** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 25 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

## 4.44.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities voted.

The **Qty** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 74 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.45 Proxy12



*Definition:* Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting and proxy vote.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProxyType	<PrxyTp>		[1..1]		73
	PersonDetails	<PrsnDtls>		[0..1]	<span style="color: orange;">⚠</span>	73

**Rules**

**R8 ProxyAppointment1Rule ✓**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00086
- *Error Text:* Invalid message content for ProxyType

**Used in element(s)**

"Proxy" on page 36

## 4.45.1 ProxyType

*XML Tag:* PrxyTp

*Presence:* [1..1]

*Definition:* Type of proxy.

*Datatype:* "ProxyType3Code" on page 119

## 4.45.2 PersonDetails

*XML Tag:* PrsnDtls

*Presence:* [0..1]

*Definition:* Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

The **PrsnDtls** block contains the following elements (see datatype "IndividualPerson42" on page 33 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreassignedProxy	<PrssgndPrxy>		[0..1]	<span style="color: blue;">M</span>	33

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EmployingParty	<EmplngPty>		[0..1]		33
	AttendanceCardDetails	<AtndncCardDtls>		[1..1]		34

#### Usage Guideline details

- on seev.004.001.09/Instruction/Proxy/PersonDetails

- Person Details ignored for Proxy Types CHRM or NEPR:

Person Details section is ignored for Proxy Types CHRM or NEPR

## 4.46 QuantityOrCode1Choice

*Definition:* Choice between a quantity or a code value.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

#### Used in element(s)

"Abstain" on page 89, "Against" on page 89, "AgainstManagement" on page 90, "Blank" on page 92, "Discretionary" on page 90, "For" on page 88, "NoAction" on page 92, "OneYear" on page 91, "Quantity" on page 72, "ThreeYears" on page 91, "TwoYears" on page 91, "WithManagement" on page 90, "Withhold" on page 89

### 4.46.1 Quantity

*XML Tag:* Qty

*Presence:* [1..1]

*Definition:* Quantity expressed as a number.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity18Choice](#)" on page 22 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		23
	FaceAmount	<FaceAmt>	Or}	[1..1]		23

## 4.46.2 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity1Code" on page 120](#)

## 4.47 SafekeepingAccount15

Definition: Identification and information about a securities account and balance.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountIdentification	<AcctId>		[0..1]	<span style="color: blue;">M</span> <span style="color: orange;">⚠</span>	76
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	<span style="color: red;">⊘</span>	76
	AccountOwner	<AcctOwnr>		[0..1]	<span style="color: orange;">◇</span>	77
	SubAccountIdentification	<SubAcctId>		[0..1]	<span style="color: orange;">◇</span>	77
	InstructedBalance	<InstdBal>		[1..15]		77
	RightsHolder	<RghtsHldr>		[0..250]	<span style="color: orange;">⚠</span>	78
	PledgeDetails	<PldgDtls>		[0..1]	<span style="color: orange;">◇</span>	78

### Rules

#### R9 BalanceQall1Rule ✓

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

Error handling:

- Error severity: Fatal
- Error Code: X00087
- Error Text: Invalid message content for Identification

#### R10 AccountIdentificationOrBlockChainAddress1Rule ✓

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Error handling:

- Error severity: Warning
- Error Code: X00551
- Error Text: Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

#### R11 AccountIdentificationOrBlockChainAddress2Rule ✓

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

#### **R12 AccountIdentificationOrBlockchainAddress3Rule ✓**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

#### **Guidelines**

##### **1. RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### **Used in element(s)**

"AccountDetails" on page 35

## **4.47.1 AccountIdentification**

*XML Tag:* AcctId

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account between the account owner and the account servicer.

*Datatype:* "Max35Text" on page 109

#### **Usage Guideline details**

- **on seev.004.001.09/Instruction/AccountDetails/AccountIdentification**

*This element is now mandatory, the minimum occurrence has been changed to : 1*

- **on seev.004.001.09/Instruction/AccountDetails/AccountIdentification**

- **Validation:**

The same account is expected accross all single instructions of a given seev.004

## **4.47.2 BlockchainAddressOrWallet**

*XML Tag:* BkChainAdrOrWllt

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* ["Max140Text" on page 107](#)

**Usage Guideline details**

- This element([seev.004.001.09/Instruction/AccountDetails/BlockChainAddressOrWallet](#)) is removed.

## 4.47.3 AccountOwner

*XML Tag:* AcctOwnr

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype ["PartyIdentification231Choice" on page 54](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		54
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		54

**Usage Guideline details**

- This element([seev.004.001.09/Instruction/AccountDetails/AccountOwner](#)) will be ignored by the Receiver.

## 4.47.4 SubAccountIdentification

*XML Tag:* SubAcctId

*Presence:* [0..1]

*Definition:* Identification of a subaccount within the safekeeping account.

*Datatype:* ["Max35Text" on page 109](#)

**Usage Guideline details**

- This element([seev.004.001.09/Instruction/AccountDetails/SubAccountIdentification](#)) will be ignored by the Receiver.

## 4.47.5 InstructedBalance

*XML Tag:* InstdBal

*Presence:* [1..15]

*Definition:* Quantity of securities to which this instruction applies.

The **InstdBal** block contains the following elements (see datatype "[HoldingBalance12](#)" on page 28 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[1..1]		28
	BalanceType	<BalTp>		[0..1]		28
	SafekeepingPlace	<SfkpgPlc>		[0..1]	⚠	28

## 4.47.6 RightsHolder

*XML Tag:* RghtsHldr

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

The **RghtsHldr** block contains the following elements (see datatype "[PartyIdentification246Choice](#)" on page 58 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LegalPerson	<LglPrsn>	{Or	[1..1]		58
	NaturalPerson	<NtrlPrsn>	Or}	[1..*]		58

### Usage Guideline details

- on [seev.004.001.09/Instruction/AccountDetails/RightsHolder](#)

- **Rights Holder:**

**If**

The `seev.001` of the meeting event contains the indicator "BO Disclosure = true"

**Then**

This Rights Holder section is mandatory

**Else**

This Rights Holder section is optional

## 4.47.7 PledgeDetails

*XML Tag:* PldgDtIs

*Presence:* [0..1]

*Definition:* Detailed information about the pledge.

The **PldgDtls** block contains the following elements (see datatype "[PledgeInformation1](#)" on page 65 for details)

⊗ Removed - ⊗ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Pledger	<Pldgr>		[1..1]		65
	ThirdParty	<ThrdPty>		[0..1]		66
	PledgeType	<PldgTp>		[1..1]		66
	ReturnSecuritiesIndicator	<RtrScitiesInd>		[0..1]		66

**Usage Guideline details**

- This element(seev.004.001.09/Instruction/AccountDetails/PledgeDetails) will be ignored by the Receiver.

## 4.48 SafekeepingPlaceFormat28Choice

*Definition:* Choice of formats for a place of safekeeping.

⊗ Removed - ⊗ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		79
	Country	<Ctry>	Or	[1..1]		80
	TypeAndIdentification	<TpAndId>	Or	[1..1]		80
	Proprietary	<Prtry>	Or}	[1..1]		80

**Used in element(s)**

["SafekeepingPlace"](#) on page 28

### 4.48.1 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndText6](#)" on page 81 for details)

⊗ Removed - ⊗ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		81
	Identification	<Id>		[0..1]		82

## 4.48.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 102

## 4.48.3 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 80 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		81
	Identification	<Id>		[1..1]		81

## 4.48.4 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78" on page 27 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		27
	Identification	<Id>		[0..1]		27

## 4.49 SafekeepingPlaceTypeAndIdentification1



*Definition:* Identification of the type of place of safekeeping expressed as a code and a BIC.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		81
	Identification	<Id>		[1..1]		81

**Used in element(s)**

["TypeAndIdentification" on page 80](#)

## 4.49.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* ["SafekeepingPlace1Code" on page 121](#)

## 4.49.2 Identification

*XML Tag:* Id

*Presence:* [1..1]

*Definition:* Place of safekeeping.

*Datatype:* ["AnyBICDec2014Identifier" on page 102](#)

## 4.50 SafekeepingPlaceTypeAndText6

*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		81
	Identification	<Id>		[0..1]		82

**Used in element(s)**

["Identification" on page 79](#)

## 4.50.1 SafekeepingPlaceType

*XML Tag:* SfkpgPlcTp

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

Datatype: ["SafekeepingPlace2Code" on page 121](#)

## 4.50.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: ["Max35Text" on page 109](#)

## 4.51 SecurityIdentification19

Definition: Identification of a security.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	<span style="color: blue;">M</span>	83
	OtherIdentification	<OthrId>		[0..*]	<span style="color: orange;">◇</span>	83
	Description	<Desc>		[0..1]	<span style="color: orange;">◇</span>	84

### Rules

#### R13 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- Error severity: Fatal
- Error Code: X00192
- Error Text: At least one identification must be present.

#### R14 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- Error severity: Fatal
- Error Code: X00193
- Error Text: At least one identification must be present.

#### R15 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- Error severity: Fatal

- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**R16 DescriptionUsageRule**

Description must be used alone as the last resort.  
 Error handling: Undefined

**R17 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.  
 Error handling: Undefined

**Used in element(s)**

"FinancialInstrumentIdentification" on page 14

## 4.51.1 ISIN

*XML Tag:* ISIN

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINOct2015Identifier" on page 105

**Usage Guideline details**

- **on seev.004.001.09/FinancialInstrumentIdentification/ISIN**  
*This element is now mandatory, the minimum occurrence has been changed to : 1*

## 4.51.2 OtherIdentification

*XML Tag:* OthrlId

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "OtherIdentification1" on page 46 for details)

❌ Removed - 
 ⊖ Partial Removed - 
 ◇ Ignored - 
 ⊖ Partial Ignored - 
 ⚠ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		47
	Suffix	<Sfx>		[0..1]		47

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		47

**Usage Guideline details**

- This element(seev.004.001.09/FinancialInstrumentIdentification/OtherIdentification) will be ignored by the Receiver.

## 4.51.3 Description

*XML Tag:* Desc

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* "Max140Text" on page 107

**Usage Guideline details**

- This element(seev.004.001.09/FinancialInstrumentIdentification/Description) will be ignored by the Receiver.

## 4.52 SignedQuantityFormat15

*Definition:* Signed quantity of a security for various quantity formats.

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - 
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		84
	Quantity	<Qty>		[1..1]		84

**Used in element(s)**

"Balance" on page 28

### 4.52.1 ShortLongPosition

*XML Tag:* ShrtLngPos

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 128

### 4.52.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of securities.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity46Choice](#)" on [page 23](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		23
	FaceAmount	<FaceAmt>	Or	[1..1]		24
	DigitalTokenUnit	<DgtlTknUnit>	Or	[1..1]	<span style="color: red;">⊘</span>	24
	Code	<Cd>	Or}	[1..1]		24

## 4.53 SpecificInstructionRequest4

Definition: Voting participation method at a general meeting.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ParticipationMethod	<PrtcpnMtd>		[0..1]		85
	SecuritiesRegistration	<SctiesRegn>		[0..1]		86

Used in element(s)

"[SpecificInstructionRequest](#)" on [page 37](#)

### 4.53.1 ParticipationMethod

XML Tag: PrtcpnMtd

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

The **PrtcpnMtd** block contains the following elements (see datatype "[ParticipationMethod3Choice](#)" on [page 48](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		48
	Proprietary	<Prtry>	Or}	[1..1]	<span style="color: red;">⊘</span>	49

## 4.53.2 SecuritiesRegistration

*XML Tag:* SctiesRegn

*Presence:* [0..1]

*Definition:* Indicates a request to register the securities for the meeting.

*Datatype:* "YesNoIndicator" on page 136

## 4.54 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		86
	Envelope	<Envlp>		[1..1]		86

### Rules

#### R18 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

### Used in element(s)

"SupplementaryData" on page 16

### 4.54.1 PlaceAndName

*XML Tag:* PlcAndNm

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 109

### 4.54.2 Envelope

*XML Tag:* Envlp

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

## 4.55 ThirdPartyIdentification1

*Definition:* Identification of the third party.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Role	<Role>		[1..1]		87
	LegalPersonIdentification	<LglPrsId>		[0..1]		87

**Used in element(s)**

"ThirdParty" on page 66

### 4.55.1 Role

*XML Tag:* Role

*Presence:* [1..1]

*Definition:* Role played by the third party.

*Datatype:* ["PartyRole3Code" on page 114](#)

### 4.55.2 LegalPersonIdentification

*XML Tag:* LglPrsId

*Presence:* [0..1]

*Definition:* Identification of the third party legal entity.

The **LglPrsId** block contains the following elements (see datatype ["PartyIdentification221" on page 52](#) for details)


⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>		[1..1]		53
	EmailAddress	<EmailAdr>		[0..1]		53
	Identification	<Id>		[1..1]		53

## 4.56 Vote14

*Definition:* Vote expressed for one resolution.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		88
	ListingGroupResolutionLabel	<ListgGrpRsltnLbl>		[0..1]		88
	For	<For>		[0..1]		88
	Against	<Agnst>		[0..1]		89
	Abstain	<Abstn>		[0..1]		89
	Withhold	<Withld>		[0..1]		89
	WithManagement	<WithMgmt>		[0..1]		90
	AgainstManagement	<AgnstMgmt>		[0..1]		90
	Discretionary	<Dscrtnry>		[0..1]		90
	OneYear	<OneYr>		[0..1]		91
	TwoYears	<TwoYrs>		[0..1]		91
	ThreeYears	<ThreeYrs>		[0..1]		91
	NoAction	<NoActn>		[0..1]		92
	Blank	<Blnk>		[0..1]		92
	Proprietary	<Prtry>		[0..4]		92

**Used in element(s)**

"VoteInstruction" on page 95

## 4.56.1 IssuerLabel

*XML Tag:* IssrLbl

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* "Max35Text" on page 109

## 4.56.2 ListingGroupResolutionLabel

*XML Tag:* ListgGrpRsltnLbl

*Presence:* [0..1]

*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

*Datatype:* "Max35Text" on page 109

## 4.56.3 For

*XML Tag:* For

*Presence:* [0..1]



*Definition:* Number of votes in favour of one resolution.

The **For** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 74 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.4 Against

*XML Tag:* Agnst

*Presence:* [0..1]

*Definition:* Number of votes against one resolution.

The **Agnst** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 74 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.5 Abstain

*XML Tag:* Abstn

*Presence:* [0..1]

*Definition:* Number of abstention votes for one resolution.

The **Abstn** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on page 74 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.6 Withhold

*XML Tag:* Wthhld

*Presence:* [0..1]

*Definition:* Number of votes withheld for one resolution.

The **WthHld** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.7 WithManagement

*XML Tag:* WthMgmt

*Presence:* [0..1]

*Definition:* Number of votes in line with the votes of the management.

The **WthMgmt** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.8 AgainstManagement

*XML Tag:* AgnstMgmt

*Presence:* [0..1]

*Definition:* Number of votes against the voting recommendation of the management.

The **AgnstMgmt** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.9 Discretionary

*XML Tag:* Dscrnry

*Presence:* [0..1]

*Definition:* Number of votes for which decision is left to the party that will exercise the voting right.

The **Dscrtnry** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.10 OneYear

*XML Tag:* OneYr

*Presence:* [0..1]

*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.

The **OneYr** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.11 TwoYears

*XML Tag:* TwoYrs

*Presence:* [0..1]

*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.

The **TwoYrs** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.12 ThreeYears

*XML Tag:* ThreeYrs

*Presence:* [0..1]

*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.

The **ThreeYrs** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.13 NoAction

*XML Tag:* NoActn

*Presence:* [0..1]

*Definition:* Do not vote.

The **NoActn** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.14 Blank

*XML Tag:* Blnk

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

The **Blnk** block contains the following elements (see datatype "[QuantityOrCode1Choice](#)" on [page 74](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -  
**M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		74
	Code	<Cd>	Or}	[1..1]		75

## 4.56.15 Proprietary

*XML Tag:* Prtry

*Presence:* [0..4]

*Definition:* Other type of vote expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "[ProprietaryVote1](#)" on page 71 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>		[1..1]		72
	Quantity	<Qty>		[1..1]		72

**Usage Guideline details**

- This element(seev.004.001.09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/VoteInstruction/Proprietary) is removed.

## 4.57 Vote15

*Definition:* Vote expressed for one resolution.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -   
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		93
	VoteOption	<VoteOptn>		[1..1]		93

**Used in element(s)**

["GlobalVoteInstruction"](#) on page 96

### 4.57.1 IssuerLabel

*XML Tag:* IssrLbl

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* "[Max35Text](#)" on page 109

### 4.57.2 VoteOption

*XML Tag:* VoteOptn

*Presence:* [1..1]

*Definition:* Vote instructed.

The **VoteOptn** block contains the following elements (see datatype "[VoteInstructionType2Choice](#)" on page 100 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		100
	Proprietary	<Prtry>	Or}	[1..1]		100

## 4.58 Vote15Choice

*Definition:* Choice between a vote per agenda resolution and vote for all agenda resolutions.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VotePerAgendaResolution	<VotePerAgndRsltn>	{Or	[1..1]		94
	VoteForAllAgendaResolutions	<VoteForAllAgndRsltns>	Or}	[1..1]		94

Used in element(s)

"VoteInstructionForAgendaResolution" on page 97

### 4.58.1 VotePerAgendaResolution

*XML Tag:* VotePerAgndRsltn

*Presence:* [1..1]

*Definition:* Vote instruction is provided individually for each agenda resolution.

The **VotePerAgndRsltn** block contains the following elements (see datatype "[Vote16Choice](#)" on [page 95](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -   
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstruction	<VoteInstr>	{Or	[1..1000]		95
	GlobalVoteInstruction	<Gb/VoteInstr>	Or}	[1..1000]		96

### 4.58.2 VoteForAllAgendaResolutions

*XML Tag:* VoteForAllAgndRsltns

*Presence:* [1..1]

*Definition:* One single vote instruction is provided to cover all agenda resolutions.

The **VoteForAllAgndRsltns** block contains the following elements (see datatype "[VoteInstruction-Type1Choice](#)" on [page 98](#) for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		99
	Proprietary	<Prtry>	Or}	[1..1]	<span style="color: red;">⊘</span>	99

## 4.59 Vote16Choice

*Definition:* Determines how the voting instructions are specified.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstruction	<VoteInstr>	{Or	[1..1000]		95
	GlobalVoteInstruction	<Gb/VoteInstr>	Or}	[1..1000]		96

### Rules

#### R19 InFavourListingGroup1Rule

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

#### Used in element(s)

"VotePerAgendaResolution" on page 94

### 4.59.1 VoteInstruction


*XML Tag:* VoteInstr

*Presence:* [1..1000]

*Definition:* Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

The **VoteInstr** block contains the following elements (see datatype "Vote14" on page 87 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		88
	ListingGroupResolutionLabel	<ListgGrpRsltnLbl>		[0..1]		88
	For	<For>		[0..1]		88
	Against	<Agnst>		[0..1]		89
	Abstain	<Abstn>		[0..1]		89
	Withhold	<Withld>		[0..1]		89
	WithManagement	<WithMgmt>		[0..1]		90
	AgainstManagement	<AgnstMgmt>		[0..1]		90
	Discretionary	<Dscrtry>		[0..1]		90
	OneYear	<OneYr>		[0..1]		91
	TwoYears	<TwoYrs>		[0..1]		91
	ThreeYears	<ThreeYrs>		[0..1]		91
	NoAction	<NoActn>		[0..1]		92
	Blank	<Blnk>		[0..1]		92
	Proprietary	<Prtry>		[0..4]		92







## 4.59.2 GlobalVoteInstruction

*XML Tag:* GblVoteInstr

*Presence:* [1..1000]

*Definition:* Instruction specifying a vote instruction per resolution for the entire entitlement.







The **GblVoteInstr** block contains the following elements (see datatype "Vote15" on page 93 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IssuerLabel	<IssrLbl>		[1..1]		93
	VoteOption	<VoteOptn>		[1..1]		93

## 4.60 VoteDetails6

*Definition:* Vote instruction for an agenda or a meeting resolution.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteInstructionForAgendaResolution	<VoteInstrForAgndRsltn>		[1..1]		97
	VoteInstructionForMeetingResolution	<VoteInstrForMtgRsltn>		[0..1]		97

**Used in element(s)**

"VoteDetails" on page 36

## 4.60.1 VoteInstructionForAgendaResolution

XML Tag: VoteInstrForAgndRsltn

Presence: [1..1]

Definition: Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

The **VoteInstrForAgndRsltn** block contains the following elements (see datatype "Vote15Choice" on page 94 for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VotePerAgendaResolution	<VotePerAgndRsltn>	{Or	[1..1]		94
	VoteForAllAgendaResolutions	<VoteForAllAgndRsltns>	Or}	[1..1]		94

## 4.60.2 VoteInstructionForMeetingResolution

XML Tag: VoteInstrForMtgRsltn

Presence: [0..1]

Definition: Vote instructions for the resolutions that may arise or may be amended at the meeting but were not previously provided in the agenda.

The **VoteInstrForMtgRsltn** block contains the following elements (see datatype "VoteInstructionForMeetingResolution3Choice" on page 97 for details)

⊘ Removed - 
 ⊘ Partial Removed - 
 ◇ Ignored - 
 ◇ Partial Ignored - 
 ▲ Details below - 
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteIndication	<VoteIndctn>	{Or	[1..1]		98
	Shareholder	<Shrhldr>	Or}	[1..1]		98

## 4.61 VoteInstructionForMeetingResolution3Choice

Definition: Vote instruction applying to resolutions added during the meeting.

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	VoteIndication	<VoteIndctn>	{Or	[1..1]		98
	Shareholder	<Shrhldr>	Or}	[1..1]		98

Used in element(s)

"VoteInstructionForMeetingResolution" on page 97

## 4.61.1 VoteIndication

XML Tag: VoteIndctn

Presence: [1..1]

Definition: Vote recommendation for resolutions added during the meeting.

The **VoteIndctn** block contains the following elements (see datatype "VoteInstructionType1Choice" on page 98 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		99
	Proprietary	<Prtry>	Or}	[1..1]	<span style="color: red;">⊘</span>	99

## 4.61.2 Shareholder

XML Tag: Shrhldr

Presence: [1..1]

Definition: Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.

The **Shrhldr** block contains the following elements (see datatype "NameAndAddress9" on page 45 for details)

⊘ Removed - !⊘ Partial Removed - ◇ Ignored - !◇ Partial Ignored - ⚠ Details below -  
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		45
	Address	<Adr>		[0..1]		45

## 4.62 VoteInstructionType1Choice

*Definition:* Choice between a predefined code value or a proprietary code value for a vote instruction type.

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - 🚫 Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		99
	Proprietary	<Prtry>	Or}	[1..1]	🚫	99

**Used in element(s)**

"VoteForAllAgendaResolutions" on page 94, "VoteIndication" on page 98

## 4.62.1 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Vote option expressed as a code.

*Datatype:* "VoteInstruction6Code" on page 130

## 4.62.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30" on page 25 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - 🚫 Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

**Usage Guideline details**

- This element(seev.004.001.09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VoteForAllAgendaResolutions/Proprietary) is removed.
- This element(seev.004.001.09/Instruction/VoteDetails/VoteInstructionForMeetingResolution/VoteIndication/Proprietary) is removed.

## 4.63 VoteInstructionType2Choice

*Definition:* Choice between a predefined code value or a proprietary code value for a vote instruction type.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>	{Or	[1..1]		100
	Proprietary	<Prtry>	Or}	[1..1]	🚫	100

### Used in element(s)

["VoteOption" on page 93](#)

### 4.63.1 Type

*XML Tag:* Tp

*Presence:* [1..1]

*Definition:* Vote option expressed as a code.

*Datatype:* ["VoteInstruction7Code" on page 131](#)

### 4.63.2 Proprietary

*XML Tag:* Prtry

*Presence:* [1..1]

*Definition:* Vote option expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 25](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -  
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		25
	Issuer	<Issr>		[1..1]		26
	SchemeName	<SchmeNm>		[0..1]		26

### Usage Guideline details

- This element([seev.004.001.09/Instruction/VoteDetails/VoteInstructionForAgendaResolution/VotePerAgendaResolution/GlobalVoteInstruction/VoteOption/Proprietary](#)) is removed.

## 5 Message Datatypes

**Note** The following chapter identifies the datatypes that are used in the message.

### 5.1 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

#### Used in element(s)

["AddressType" on page 67](#), ["AddressType" on page 69](#)

#### Restricts

["AddressTypeCode" on page 101](#)

### 5.2 AddressTypeCode

*Definition:* Specifies the type of address.

*Type:* CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

#### Is restricted by

["AddressType2Code" on page 101](#)

## 5.3 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

### Rules

#### R6 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### Used in element(s)

"AnyBIC" on page 49, "AnyBIC" on page 51, "Identification" on page 81

## 5.4 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

### Format

pattern [A-Z]{2,2}

### Rules

#### R2 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**Used in element(s)**

["Country" on page 68](#), ["Country" on page 70](#), ["Country" on page 80](#), ["CountryOfBirth" on page 19](#), ["CountryOfIncorporation" on page 62](#), ["Nationality" on page 57](#), ["Nationality" on page 60](#)

## 5.5 DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

**Format**

fractionDigits	17
totalDigits	18

**Used in element(s)**

["Unit" on page 23](#), ["Unit" on page 23](#)

## 5.6 DeliveryPlace3Code

*Definition:* Specifies the place of delivery.

*Type:* CodeSet

Code	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
OADR	OtherAddress	Cards needs to be send to another specified address.

**Used in element(s)**

["DeliveryMethod" on page 17](#)

**Restricts**

["DeliveryPlaceV2Code" on page 103](#)

## 5.7 DeliveryPlaceV2Code

*Definition:* Specifies the place of delivery.

*Type:* CodeSet

Code	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.

Code	Name	Definition
EMPL	Employer	Card needs to be mailed to employer's address.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
OADR	OtherAddress	Cards needs to be send to another specified address.

**Is restricted by**

"DeliveryPlace3Code" on page 103

## 5.8 Exact3NumericText

*Definition:* Specifies a numeric string with an exact length of 3 digits.

*Type:* Text

**Format**

pattern [0-9]{3}

**Used in element(s)**

"ShortNumber" on page 22

## 5.9 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern [a-zA-Z0-9]{4}

**Used in element(s)**

"Identification" on page 25

## 5.10 ExternalFinancialInstrument-IdentificationType1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet



**Format**

maxLength	4
minLength	1

**Used in element(s)**

["Code" on page 29](#)

**Restricts**

["ExternalFinancialInstrumentIdentificationTypeCode" on page 105](#)

## 5.11 ExternalFinancialInstrument-IdentificationTypeCode

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

maxLength	4
minLength	1

**Is restricted by**

["ExternalFinancialInstrumentIdentificationType1Code" on page 104](#)

## 5.12 ISINOct2015Identifier

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

**Format**

pattern	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}
---------	-----------------------------------

**Used in element(s)**

["ISIN" on page 83](#)

## 5.13 ISO20022MessageIdentificationText

*Definition:* ISO 20022 Message identifier of an MX message.

*Type:* Text

**Format**

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

**Used in element(s)**

["LongNumber" on page 22](#)

## 5.14 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

**Used in element(s)**

["BirthDate" on page 18](#)

## 5.15 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

**Used in element(s)**

["MeetingDateAndTime" on page 41](#), ["RequestedExecutionDate" on page 35](#)

## 5.16 ImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

### Format

fractionDigits	5
minInclusive	0
totalDigits	18

### Used in element(s)

"FaceAmount" on page 23, "FaceAmount" on page 24

## 5.17 LEIdentifier

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

### Format

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

### Used in element(s)

"LEI" on page 50, "LEI" on page 51

## 5.18 Max105Text

*Definition:* Specifies a character string with a maximum length of 105 characters.

*Type:* Text

### Format

maxLength	105
minLength	1

### Used in element(s)

"AttendanceCardLabelling" on page 17

## 5.19 Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

maxLength	140
minLength	1

**Used in element(s)**

"BlockchainAddressOrWallet" on page 76, "Description" on page 84

## 5.20 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

maxLength	16
minLength	1

**Used in element(s)**

"BuildingNumber" on page 68, "BuildingNumber" on page 69, "PostBox" on page 70, "PostCode" on page 68, "PostCode" on page 70, "Suffix" on page 47

## 5.21 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

**Format**

maxLength	256
minLength	1

**Used in element(s)**

"EmailAddress" on page 53, "EmailAddress" on page 57, "EmailAddress" on page 60, "EmailAddress" on page 62

## 5.22 Max30DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

*Type:* Quantity

**Format**

fractionDigits	29
totalDigits	30

**Used in element(s)**

["DigitalTokenUnit" on page 24](#)

## 5.23 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

maxLength	350
minLength	1

**Used in element(s)**

["FirstName" on page 64](#), ["Name" on page 44](#), ["Name" on page 45](#), ["Name" on page 63](#), ["PlaceAnd-Name" on page 86](#), ["Surname" on page 64](#), ["Unstructured" on page 38](#)

## 5.24 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

maxLength	35
minLength	1

**Used in element(s)**

["AccountIdentification" on page 76](#), ["AccountOwnerDocumentIdentification" on page 21](#), ["AccountSer-  
vicerDocumentIdentification" on page 21](#), ["CityOfBirth" on page 19](#), ["CompanyRegisterShareholderI-  
dentification" on page 61](#), ["CompanyRegisterShareholderIdentification" on page 62](#), ["CountrySubDi-  
vision" on page 68](#), ["CountrySubDivision" on page 70](#), ["Identification" on page 26](#), ["Identification" on  
page 27](#), ["Identification" on page 46](#), ["Identification" on page 47](#), ["Identification" on page 82](#), ["Issuer" on  
page 25](#), ["Issuer" on page 26](#), ["Issuer" on page 26](#), ["IssuerLabel" on page 88](#), ["IssuerLabel" on page 93](#),  
["IssuerMeetingIdentification" on page 41](#), ["ListingGroupResolutionLabel" on page 88](#), ["MeetingIden-  
tification" on page 41](#), ["MeetingInstructionCancellationRequestIdentification" on page 39](#), ["MeetingIn-  
structionIdentification" on page 40](#), ["NationalRegistrationNumber" on page 51](#), ["Proprietary" on page 30](#),  
["ProvinceOfBirth" on page 18](#), ["SchemeName" on page 25](#), ["SchemeName" on page 26](#), ["Scheme-  
Name" on page 27](#), ["SingleInstructionIdentification" on page 35](#), ["SingleInstructionIdentification" on  
page 39](#), ["SingleInstructionIdentification" on page 40](#), ["SubAccountIdentification" on page 77](#), ["Town-  
Name" on page 68](#), ["TownName" on page 70](#)

## 5.25 Max4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

**Used in element(s)**

"Identification" on page 25

## 5.26 Max50Text

*Definition:* Specifies a character string with a maximum length of 50 characters.

*Type:* Text

**Format**

maxLength	50
minLength	1

**Used in element(s)**

"ClientIdentification" on page 51

## 5.27 Max5NumericText

*Definition:* Specifies a numeric string with a maximum length of 5 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,5}
---------	------------

**Used in element(s)**

"PageNumber" on page 48

## 5.28 Max70Text

*Definition:* Specifies a character string with a maximum length of 70 characters.

*Type:* Text

**Format**

maxLength	70
minLength	1

**Used in element(s)**

"AddressLine" on page 67, "AddressLine" on page 69, "StreetName" on page 67, "StreetName" on page 69

## 5.29 MeetingType4Code

*Definition:* Specifies a type of security holders meeting.

*Type:* CodeSet

Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

**Used in element(s)**

"Type" on page 41

**Restricts**

"MeetingTypeV2Code" on page 112

## 5.30 MeetingTypeClassification2Code

*Definition:* Classifies the meeting type.

*Type:* CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.

Code	Name	Definition
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

**Used in element(s)**

"Code" on page 43

**Restricts**

"MeetingTypeClassificationCode" on page 112

## 5.31 MeetingTypeClassificationCode

*Definition:* Classifies the meeting type.

*Type:* CodeSet

Code	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
CORT	Court	Specifies that the meeting is the result of a legal proceeding.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

**Is restricted by**

"MeetingTypeClassification2Code" on page 111

## 5.32 MeetingTypeV2Code

*Definition:* Specifies the type of security holders meeting.

*Type:* CodeSet

Code	Name	Definition
AMET	AnnualGeneralMeeting	Specifies a meeting held periodically to approve the financial statements and elect the board members and the auditors.



Code	Name	Definition
BMET	BondHolderMeeting	Physical meeting of bond holders.
CLAS	ClassMeeting	Meeting for a class of assets.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
OMET	Ordinary	Specifies a meeting that is not planned in the by laws.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.

Is restricted by

["MeetingType4Code"](#) on page 111

## 5.33 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.  <div style="background-color: #ffffcc; padding: 5px;"> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>on seev.004.001.09/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/NamePrefix/Doctor</li> </ul> <p><b>Comment:</b> Ignored for Registration purposes</p> </div>
MADM	Madam	Title of the person is Madam.

Code	Name	Definition
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).  <b>Usage Guideline restrictions for this code</b>  <ul style="list-style-type: none"> <li>on seev.004.001.09/Instruction/AccountDetails/RightsHolder/NaturalPerson/NameAndAddress/NamePrefix/GenderNeutral</li> </ul> <b>Comment:</b> Ignored for Registration purposes
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

**Used in element(s)**

["NamePrefix" on page 64](#)

**Restricts**

["NamePrefixCode" on page 114](#)

## 5.34 NamePrefixCode

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

**Is restricted by**

["NamePrefix2Code" on page 113](#)

## 5.35 PartyRole3Code

*Definition:* Specifies the role of the party in the transaction.

*Type:* CodeSet

Code	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

**Used in element(s)**

["Role" on page 87](#)

**Restricts**

["PartyRoleCode" on page 115](#)

## 5.36 PartyRoleCode

*Definition:* Specifies the role of the party in the transaction.

*Type:* CodeSet

Code	Name	Definition
AUDT	Auditor	Party that regularly monitors compliance with the legal regulations.
BANK	Bank	The entity is a bank.
BENE	Beneficiary	The entity is a Beneficiary.
BKMG	BackupManager	Party responsible for managing the recovery functions related to a system.
BROK	Broker	Trade executed through a broker.
BYER	Buyer	Buyer in a trade.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CMUT	CentralMatchingMtility	The entity is a Central Matching Utility (CMU).
CONA	NameOfAgentToOrder	Name of Agent to the order, if different from the instructing party.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
CONE	ContactPersonAtExecutingPartyInstitution	Party acting as the contact person at the executing party institution.
CONI	ContactPersonAtInstructingPartyInstitution	Party acting as the contact person at the instructing party institution.
CORP	Corporate	The entity is a corporate.
CSTS	CentralSettlementSystem	The entity is a Central Settlement System.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through

Code	Name	Definition
		various media, for example, the Internet, magazines.
DEAG	DeliveringAgent	Delivering Agent
DECM	DecisionMaker	Party that is authorised to take investment decisions on behalf of the shareholder.
DEIN	DeliveringIntermediary	Delivering Intermediary is the Party within the settlement chain, between the delivery and receiving agents
DIST	Distributor	Party that act as an wholesaler of funds.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
FIAD	FinancialAdvisor	Party that supplies information and advice on investment products.
FIAG	FinalAgent	Financial institution that receives the payment transaction on behalf of an account owner, or other nominated party, and credits the account.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
FTAG	FirstAgent	Financial institution that receives the payment transaction from the account owner, or other authorised party, and processes the instruction.
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.
INTC	Internal	Party acting as an internal agent.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or oth-

Code	Name	Definition
		er intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
LGRD	LegalGuardian	Party that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.
LMMG	LimitManager	Party in a financial institution responsible for the management of the limits. This party is responsible for fixing, modifying, or suspending limits as relevant for the management of counterparty risk or systemic risk containment.
LQMG	LiquidityManager	Party in a financial institution responsible for the management of liquidity for the financial institution. This party has access to some functions available on the system, such as the liquidity transfers or management of standing instructions for liquidity control.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
PRBR	PrimeBroker	Party acting as settlement agent, provides custody for assets, provides financing for leverage, and prepares daily account statements for its clients, who are money managers, hedge funds, market makers, arbitrageurs, specialists and other professional investors.
PYMG	PaymentManager	Party in a financial institution responsible for the management of payment processing for the financial institution. This includes authorising, recycling, and modification of payment transactions.
REAG	ReceivingAgent	Receiving Agent
REDR	Reader	Party in a financial institution entitled to obtain information from the system, but not act upon/alter this information.

Code	Name	Definition
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
REIN	ReceivingIntermediary	Receiving Intermediary is the Party within the settlement chain, between the delivery and receiving agents
SLLR	Seller	Seller in a trade.
STMG	SettlementManager	Party in a financial institution responsible for the management of settlement processing.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.

Is restricted by

"PartyRole3Code" on page 114

## 5.37 ProcessingPosition3Code

*Definition:* Specifies the processing position.

*Type:* CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

Code	Name	Definition
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

**Used in element(s)**

["Code" on page 71](#)

**Restricts**

["ProcessingPositionCode" on page 119](#)

## 5.38 ProcessingPositionCode

*Definition:* Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

*Type:* CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

**Is restricted by**

["ProcessingPosition3Code" on page 118](#)

## 5.39 ProxyType3Code

*Definition:* Specifies the type of proxy person.

*Type:* CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

Code	Name	Definition
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

**Used in element(s)**

["ProxyType" on page 73](#)

**Restricts**

["ProxyTypeCode" on page 120](#)

## 5.40 ProxyTypeCode

*Definition:* Specifies the type of proxy person.

*Type:* CodeSet

Code	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.

**Is restricted by**

["ProxyType3Code" on page 119](#)

## 5.41 Quantity1Code

*Definition:* Specifies quantity of a financial instrument.

*Type:* CodeSet

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

**Used in element(s)**

["Code" on page 24](#), ["Code" on page 75](#)

**Restricts**

["QuantityCode" on page 120](#)

## 5.42 QuantityCode

*Definition:* Quantity is unknown by the sender or has not been established.



Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by

["Quantity1Code" on page 120](#)

## 5.43 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 81](#)

Restricts

["SafekeepingPlaceCode" on page 122](#)

## 5.44 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Used in element(s)**

["SafekeepingPlaceType" on page 81](#)

**Restricts**

["SafekeepingPlaceCode" on page 122](#)

## 5.45 SafekeepingPlaceCode

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**Is restricted by**

["SafekeepingPlace1Code" on page 121](#), ["SafekeepingPlace2Code" on page 121](#)

## 5.46 SecuritiesEntryType2Code

*Definition:* Specifies the type of securities entry on an account.

*Type:* CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/Blocked) will be ignored by the Receiver.</li> </ul>
BORR	Borrowed	<p>Balance of securities that have been borrowed from another party.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/Borrowed) will be ignored by the Receiver.</li> </ul>
COLI	CollateralIn	<p>Balance of securities that belong to a third party and that are held for the purpose of collateralisation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/CollateralIn) will be ignored by the Receiver.</li> </ul>
COLO	CollateralOut	<p>Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/CollateralOut) will be ignored by the Receiver.</li> </ul>
ELIG	Eligible	<p>Total number of securities eligible for this event.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/Eligible) will be ignored by the Receiver.</li> </ul>
INBA	InstructedBalance	<p>Balance of instructed position.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/InstructedBalance <ul style="list-style-type: none"> <li>– <b>Balance Type INBA:</b></li> </ul> <p style="margin-left: 40px;">If Balance Type is used, only INBA is considered</p> </li> </ul>
LOAN	OnLoan	<p>Balance of securities that have been loaned to a third party.</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/OnLoan) will be ignored by the Receiver.</li> </ul>
NOMI	Registered	<p>Balance of securities that are registered (in the name of a nominee or of the beneficial owner).</p> <hr/> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/Registered) will be ignored by the Receiver.</li> </ul>
PEND	PendingDelivery	<p>Balance of securities that are pending delivery.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/PendingDelivery) will be ignored by the Receiver.</li> </ul>
PENR	PendingReceipt	<p>Balance of securities that are pending receipt.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/PendingReceipt) will be ignored by the Receiver.</li> </ul>
REGO	OutForRegistration	<p>Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/OutForRegistration) will be ignored by the Receiver.</li> </ul>
SETD	Settled	<p>Balance of securities representing only settled transactions.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/Settled) will be ignored by the Receiver.</li> </ul>
SPOS	StreetPosition	<p>Balance of securities that remain registered in the name of the prior beneficial owner of securities.</p>

Code	Name	Definition
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/StreetPosition) will be ignored by the Receiver.</li> </ul>
TRAD	Traded	<p>Balance of securities representing traded transactions.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/Traded) will be ignored by the Receiver.</li> </ul>
UNBA	UninstructedBalance	<p>Balance of uninstructed position.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/InstructedBalance/BalanceType/UninstructedBalance) will be ignored by the Receiver.</li> </ul>

**Used in element(s)**

"BalanceType" on page 28

**Restricts**

"SecuritiesEntryTypeCode" on page 126

## 5.47 SecuritiesEntryTypeCode

*Definition:* Specifies the type of securities entry on an account.

*Type:* CodeSet

Code	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.

Code	Name	Definition
BORR	Borrowed	Balance of securities that have been borrowed from another party.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
ELIG	Eligible	Total number of securities eligible for this event.
INBA	InstructedBalance	Balance of instructed position.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
SETD	Settled	Balance of securities representing only settled transactions.
SETT	SettlementPosition	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.

Code	Name	Definition
UNBA	UninstructedBalance	Balance of uninstructed position.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.

**Is restricted by**

"SecuritiesEntryType2Code" on page 122

## 5.48 ShortLong1Code

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.
		<p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/AccountDetails/Instructed-Balance/Balance/ShortLongPosition/Short) is removed.</li> </ul>

**Used in element(s)**

"ShortLongPosition" on page 84

**Restricts**

"ShortLongCode" on page 128

## 5.49 ShortLongCode

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet



Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

**Is restricted by**

"ShortLong1Code" on page 128

## 5.50 TypeOfIdentification4Code

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

**Used in element(s)**

"Code" on page 30

**Restricts**

"TypeOfIdentificationCode" on page 129

## 5.51 TypeOfIdentificationCode

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

**Is restricted by**

"TypeOfIdentification4Code" on page 129

## 5.52 VoteInstruction6Code

*Definition:* Specifies a type of voting instructions.

*Type:* CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.

Code	Name	Definition
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

**Used in element(s)**

["Type" on page 99](#)

**Restricts**

["VoteInstructionV2Code" on page 132](#)

## 5.53 VoteInstruction7Code

*Definition:* Specifies a type of voting instructions.

*Type:* CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

Code	Name	Definition
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

**Used in element(s)**

"Type" on page 100

**Restricts**

"VoteInstructionV2Code" on page 132

## 5.54 VoteInstructionV2Code

*Definition:* Specifies the possible types of voting instructions.

*Type:* CodeSet

Code	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CAGS	Against	Vote against a meeting resolution.
CFOR	For	Vote in favour of a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
NOAC	NoAction	Do not vote.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).

Is restricted by

["VoteInstruction6Code" on page 130](#), ["VoteInstruction7Code" on page 131](#)

## 5.55 VotingParticipationMethod2Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

Code	Name	Definition
PHNV	NotVoting	<p>Participation to the meeting is in person but the person is not voting.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• on seev.004.001.09/Instruc-tion/MeetingAttendee/Participa-tionMethod/NotVoting</li> </ul> <p><b>Comment:</b> For entrance cards without vot-ing rights, Clearstream does not use the Participation Method PHNV. Instead, the Par-ticipation Method PHYS (/MtgInstr/Instr/SpcfcInstrReq/PrtcptnMthd) should be used for the instruction while specifying in the Meeting Attendee section the Participation Method PHNV (/MtgInstr/Instr/MtgAt-ndee/PrtcptnMthd). This spec-ifies, that a person is phys-ically attending, but without voting rights.</p>

Used in element(s)

["ParticipationMethod" on page 32](#)

Restricts

["VotingParticipationMethodCode" on page 135](#)

## 5.56 VotingParticipationMethod3Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messag-ing or web based voting applications.

Code	Name	Definition
MAIL	Correspondence	<p data-bbox="919 208 1359 268">Vote participation is via documents sent through physical post / mail.</p> <hr/> <p data-bbox="919 321 1359 380"><b>Usage Guideline restrictions for this code</b></p> <ul data-bbox="919 406 1359 534" style="list-style-type: none"> <li data-bbox="919 406 1359 534">• <b>This code(seev.004.001.09/In-struction/SpecificInstructionRequest/ParticipationMethod/Code/Correspondence) is removed.</b></li> </ul>
PHNV	NotVoting	<p data-bbox="919 587 1359 646">Participation to the meeting is in person but the person is not voting.</p> <hr/> <p data-bbox="919 700 1359 759"><b>Usage Guideline restrictions for this code</b></p> <ul data-bbox="919 785 1359 1064" style="list-style-type: none"> <li data-bbox="919 785 1359 912">• <b>This code(seev.004.001.09/In-struction/SpecificInstructionRequest/ParticipationMethod/Code/NotVoting) is removed.</b></li> <li data-bbox="919 938 1359 1064">• <b>on seev.004.001.09/In-struction/SpecificInstruc-tionRequest/Participation-Method/Code/NotVoting</b></li> </ul> <p data-bbox="951 1081 1070 1108"><b>Comment:</b></p> <p data-bbox="951 1112 1359 1464">For entrance cards without voting rights, Clearstream does not use the Participation Method PHNV. Instead, the Participation Method PHYS (/MtgInstr/Instr/SpcfcInstrReq/PrtcptnMthd) should be used for the instruction while specifying in the Meeting Attendee section the Participation Method PHNV (/MtgInstr/Instr/MtgAttendee/PrtcptnMthd). This specifies, that a person is physically attending, but without voting rights.</p>
PHYS	InPerson	<p data-bbox="919 1517 1359 1576">Vote participation is through physical attendance.</p>
PRXY	Proxy	<p data-bbox="919 1593 1359 1653">Vote participation is through a proxy person.</p>

Code	Name	Definition
VIRT	Virtual	<p>Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.</p> <p><b>Usage Guideline restrictions for this code</b></p> <ul style="list-style-type: none"> <li>• This code(seev.004.001.09/Instruction/SpecificInstructionRequest/ParticipationMethod/Code/Virtual) is removed.</li> </ul>

**Used in element(s)**

["Code" on page 48](#)

**Restricts**

["VotingParticipationMethodV2Code" on page 135](#)

## 5.57 VotingParticipationMethodCode

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

**Is restricted by**

["VotingParticipationMethod2Code" on page 133](#)

## 5.58 VotingParticipationMethodV2Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

Code	Name	Definition
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PHYS	InPerson	Vote participation is through physical attendance.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

**Is restricted by**

"VotingParticipationMethod3Code" on page 133

## 5.59 YesNoIndicator

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

**Used in element(s)**

"LastPageIndicator" on page 48, "ReturnSecuritiesIndicator" on page 66, "SecuritiesRegistration" on page 86, "VoteExecutionConfirmation" on page 35



---

---

## Legal Notices

### Copyright

SWIFT © 2024. All rights reserved.

This material is a component of MyStandards, the SWIFT collaborative Web application used to manage standards definitions and industry usage. It can only be used and distributed in accordance with MyStandards Terms of Use.

Unless otherwise agreed in writing with SWIFT SCRL, you have no right to:

- authorise external end users to use this component for other purposes than their internal use.
- remove, alter, cover, obfuscate or cancel from view any copyright or other proprietary rights notices appearing in this physical medium.
- re-sell or authorise another party e.g. software and service providers, to re-sell this component.

### Confidentiality

This publication may contain SWIFT or third-party confidential information. Only disclose it outside your organisation in accordance with MyStandards Terms of Use and your related license rights.

This component is provided 'AS IS'. SWIFT does not give and excludes any express or implied warranties with respect to this component such as but not limited to any guarantee as to its quality, supply or availability.

Any and all rights, including title, ownership rights, copyright, trademark, patents, and any other intellectual property rights of whatever nature in this component will remain the exclusive property of SWIFT or its licensors.

### Trademarks and Patents

SWIFT is the trade name of S.W.I.F.T. SCRL. The following are registered trademarks of SWIFT: SWIFT, the SWIFT logo, 3SKey, Innotribe, Sibos, SWIFTNet, MyStandards, SWIFTReady, and Accord. Other product, service, or company names in this publication are trade names, trademarks, or registered trademarks of their respective owners.