Shareholder Communication Enhancement (SCE)
Client Connectivity Form

For LuxCSD S.A. (LuxCSD) clients

With this Connectivity form, Clearstream intends to collect information for the preparation and setup of the SCE General Meeting Service, which will go into production on 18 November 2024.

The purpose of this Connectivity Form is to offer clients an easier and more comprehensive way to define connectivity settings for general meetings.

For clients using third-party providers, we expect one form per account owner. Completed forms can be sent as pdf scan after signing and must be returned via email to:

SCE-Project@clearstream.com

latest by Friday, 30 August 2024

Connectivity forms received by Clearstream after this date can be processed on a best effort basis only. In this case, Clearstream cannot guarantee that all submitted data will be reflected in the production environment at go live.

Should you have further questions, please do not hesitate to contact us.

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1. Dedicated contacts

Please specify for which LuxCSD client or account owner this registration form applies:

_____

If you use the service of a third-party provider for your General Meeting Service, please specify the respective:

_____

Please define in the table below the required contact persons who should receive all relevant information and updates regarding the connectivity for general meetings from 18 November 2024.

If you use the service of a third-party provider for your General Meeting Service, please also provide the respective contact details.
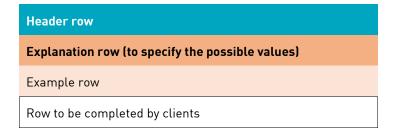
First name and surname	Email	Telephone

2. Connectivity

2.1 ISO 20022 subscription

Please indicate in this section the desired connectivity for ISO 20022 reporting.

The table is structured in the following way:

Header row
Explanation row (to specify the possible values)
Example row
Row to be completed by clients

Note: If a third-party provider service is used, and both the provider and the account owner should receive messages, please complete two rows for the same account – one with the third-party address and one with the account owner address.

Accounts	Channel	Receiver address	Message types	Event types	Issuer country
LuxCSD: 5 digit	**SwiftFIN+, File Transfer, Xact**	**SwiftFIN+ > BIC + DN** **File Transfer** > **File Transfer web address** **Xact > n/a**	**"All" by default or specify single message types**	**Select "All" or specify single event types**	**Select "All" or specify two-character issuer country codes**
12345, 54321		**BIC (for Swift FIN+):** EXAMPLEXXXX **DN (for Swift FIN+):** ou= XXX o=EXAMPLEX o=Swift **File Transfer (FT) address:** WEBX123456	☒ All (Default) or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All (Default) or ☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ All (Default) or ☒ DE, LU
		BIC (for Swift FIN+): _____ **DN (for Swift FIN+):** ou=____ o=_____ **FT address:** _____	☐ All or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All or ☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☒ All or ☐ _____

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Accounts	Channel	Receiver address	Message types	Event types	Issuer country
LuxCSD: 5 digit	**SwiftFIN+, File Transfer, Xact**	**SwiftFIN+ > BIC + DN** **File Transfer** > File Transfer web address **Xact > n/a**	"All" by default or specify single message types	Select "All" or specify single event types	Select "All" or specify two-character issuer country codes
12345, 54321		**BIC (for Swift FIN+):** EXAMPLEXXXX **DN (for Swift FIN+):** ou= XXX o=EXAMPLEX o=Swift **File Transfer (FT) address:** WEBX123456	☒ All (Default) or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All (Default) or ☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☐ All (Default) or ☒ DE, LU
		BIC (for Swift FIN+): _____ **DN (for Swift FIN+):** ou=____ o=_____ **FT address:** _____	☐ All or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All or ☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☒ All or ☐ _____
	Choose an item.	**BIC (for Swift FIN+):** _____ **DN (for Swift FIN+):** ou=____ o=_____ **FT address:** _____	☐ All or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All or ☒ BMET ☒ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☒ All or ☐ _____
	Choose an item.	**BIC (for Swift FIN+):** _____ **DN (for Swift FIN+):** ou=____ o=_____ **FT address:** _____	☐ All or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All or ☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☒ All or ☐ _____
		BIC (for Swift FIN+): _____ **DN (for Swift FIN+):** ou=____ o=_____ **FT address:** _____	☐ All or ☐ seev.001 ☐ seev.002 ☐ seev.003 ☐ seev.006 ☐ seev.007 ☐ seev.008	☒ All or ☐ BMET ☐ CMET ☐ GMET ☐ MIXD ☐ SPCL ☐ XMET	☒ All or ☐ _____

The following values will be set by default for all subscriptions:

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- Default Subscription Name;
- Start date: 15 November 2024;
- SRD indicator filled set to NO;
- Exclude Reminder Notifications set to NO;
- Events Filtering set to ALL meeting events;
- Additional Address filled with client's BIC;
- Sender BIC CEDELULLXXX selected by default.

Please note that all values can be changed manually in the subscription details.[1]

2.2 ISO 15022 subscription

In the current MT564 subscription model, both income and corporate action events and general meeting events are included. However, with the SCE migration in November 2024, the MT564 setup for general meetings (MT564+) will be separated from the MT564 setup for corporate actions with three key steps.

1. The general meeting event types (BMET, CMET, XMET, MEET and OMET) of a subscription on MT564 in a supported format (MQ in ISO15022 format, Swift in ISO15022 format, Xact, File Transfer) will be duplicated into a separate MT564+ subscription. After the go-live date on 18 November 2024, this new subscription will serve as basis for sending coexistence ISO 15022 messages via various reporting channels.
2. The "old" MT564 subscription will persist alongside the new setup until all non-migrated events are finalised. this includes reporting for non-migrated general meeting events.
3. Once the last non-migrated meeting event concludes general meeting event types will be removed from the "old" MT564 subscription. They will then only be part of the separate MT564+ subscription.

For more details, please refer to the Detailed Functional Specifications.

Clients which intend to use other channels for the notification (ISO 20022 or Xact Web Portal) may deselect the new MT564+ subscription for general meeting event types. For this purpose, please choose one option below and include the related accounts. Please note that if no option is selected, MT564+ will be active from 18 November 2024.

☐ We do not want to be subject to the migration of subscriptions from MT564 to MT564+ for the following accounts:

☒ We want to keep all MT564+ subscriptions (Default if nothing else selected).

Please note that this form does not cover subscription for Corporate Action Reporting.

If clients wish to receive Corporate Action Confirmation (MT566), for example, as part of a BMET event, they must ensure that a valid Corporate Action subscription is in place.

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[1] Please refer to the Detailed Functional Specifications for a more detailed overview of possible selections in the message subscription.

2.3 Xact Web Portal

Please indicate in this section if a connection to Xact Web Portal is already present or is required.

The table is structured in the following way:

Header row
Explanation row (to specify the possible values)
Example row
Row to be completed by clients

Account	Access to Xact Web Portal			Xact Organisational Unit (OU)
LuxCSD: 5-digit	**Yes, required** **Certificate expiry date (dd.mm.yyyy)**	**No, not required**	**No, but we hereby request the access**	
12345, 54321	☐ 31.12.2026	☐	☐	Example Bank OU
	☐ _____	☐	☐	
	☐ _____	☐	☐	
	☐ _____	☐	☐	
	☐ _____	☐	☐	
	☐ _____	☐	☐	

Contact details of the   Client Xact Web Portal administrator
Name:
Email:
Telephone:

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2.4 RMA exchange

RMA Outbound (Clearstream to client): We expect clients to create the RMA "authorization to receive" for the "Meeting Notifications and Cancellations" category. Clearstream will accept the request when received.

RMA Inbound (Client to Clearstream): Clearstream will create the RMA "authorization to receive" for the "Meeting Instructions and Cancellations" category (incl. seev.004, seev.005) based on this form.

Please specify your BIC for Clearstream to receive meeting instructions to Clearstream BIC CEDELULLXXX.

Please provide us with some short feedback once the RMA authorisation is initiated.

Clearstream allows to receive	BICs
Meeting Instructions and Cancellations (incl. seev.004, seev.005) Common Group (incl. MT599) for the purpose of linking DN and accounts[2]. Not required, if the RMA is already exchanged.	

Once the RMA exchange is finalised, clients must communicate the Distinguished Name (DN) and the list of accounts that must be linked to the DN via SWIFT message MT599 and via email to customeradmin@clearstream.com.

Please provide two authorised signatures according to the signature policy of the LuxCSD account holder :

Signature:	Signature:
Date:	**Date:**
Name:	**Name:**
Place:	**Place:**

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[2] Meeting instructions via MT599 are not supported and will not be processed.