clearstream | DEUTSCHE BÖRSE GROUP

Account Application Form

We, the undersigned, representing, hereby request Clearstream Fund Centre ("CFCL") to open an account in our name with the following specifications:

Registered Company name (in full)

Account name[1]

Reason for opening an account (for additional account)

Account type

(Please select one account type only)

	Published	Unpublished
☐ Main account	☐ default option	☐
☐ Additional account	☐	☐ default option
☐ Fund issuance account (FIA)	☐	☐ default option

VestimaPRIME

The account will be used in VestimaPrime.

☐ Yes (please complete the VestimaPrime Service Application Form)

☐ No

Usage for assets

(Please select the appropriate category)

☐ For proprietary assets

☐ For third party assets – Segregated[2]:

For segregated third party assets, please indicate the details of the underlying client (to be recorded in the CFCL business partner register):

Underlying client name

Country

☐ For third party assets – Omnibus[3]:

The Omnibus account is used to co-mingle the interests of several underlying clients with no single entity/individual having a predominant and continuing interest in the assets portfolio.

Reports setup

CFCL reports should show

☐ Common Codes (default option) ☐ ISIN

VAT

(Please tick one box only)

☐

VAT number (mandatory if country of operations is based in a European Union Member State)

☐ We are exempt from VAT

2. Subject to prior approval by CFCL.
3 Omnibus accounts for third party assets are intended to facilitate the operations of professional securities intermediaries. CFCL may require disclosure of the identities of any underlying clients having a continuing interest of 25% or more in the value of the assets deposited. In cases where there is such a predominant interest, the assets may be deposited on a separate, segregated client account.
8. Please refer to the Settlement services and Securities instruction chapters of the CFCL Client Handbook available from https://www.clearstream.com/clearstream-en/funds-services under Key Documents / Client Handbook.

Settlement[4]

(Please tick one box only per option)

In accordance with the terms given in CFCL's Governing Documents, the transactions for all accounts are to be settled according to the following criteria:

Settlement sequence option

☐ Option 1 by transaction reference number in increasing order (default option)

☐ Option 2 by settlement date (flexible - D)

☐ Option 3 by settlement date (strict - L)

☐ Option 4 by nominal amount (flexible - S)

Settlement option

☐ Option 1

Include transactions in the mandatory settlement period only. (default option)

☐ Option 2

Include against payment transactions in both mandatory and optional settlement periods. (recommended for CFF/FIA accounts)

BICs linked to the account:

Main BIC for the account

Matching BIC for the account

Matching BIC: CFCL will include the BIC flagged as "matching BIC" in the settlement party details of external settlement instructions as follows:

– Receipt instructions :95P::BUYR//matching BIC

– Delivery instructions :95P::SELL//matching BIC

If the client does not provide a "matching BIC" for the account, CFCL will use the main BIC as the default "matching BIC". Clients who either do not wish the main BIC to be defaulted as "matching BIC", or would like to define a different BIC as "matching BIC" at a later stage are requested to send a Swift MT599 or free-format message via Xact Web Portal for the attention of PRGconnect. If the client does not provide a "matching BIC", CFCL will not be held responsible for any cross matching of settlement instructions that might occur during the external settlement process.

For TARGET2-Securities (T2S) markets, if the client has populated these fields (BUYR/SELL) in their instruction to CFCL, CFCL will not overwrite this information with the "matching BIC".

For accounts linked to Vestima activity, that is, the client is a Vestima Order Issuer (OI) placing orders in investment funds that settle in a T2S market through this account, the "matching BIC" is mandatory.

Unless otherwise explicitly communicated by the client, for any additional account created, that is not a new "main account" type, CFCL will default the same main BIC and "matching BIC" as the ones applied to the main account.

Note: The client has to provide a "matching BIC" that is published in the Swift directory and which belongs to the client, otherwise a Power of Attorney over the relevant BIC is requested in order to have it set as "matching BIC".

4. Please refer to the Settlement services and Securities instruction chapters of the CFCL Client Handbook available from https://www.clearstream.com/clearstream-en/funds-services under Key Documents / Client Handbook.

Partial settlement

☐ PART: Instructions eligible for partial settlement

☐ NPAR: Instructions not eligible for partial settlement (default option)

Note: Your preference will apply for all internal, Bridge and external settlement instructions.

French Registered Securities

(Only for holdings in VON[5], LBS (PF)[6] or temporary LBS)

☐ Registered account[7] for our existing account number: _____

Communications

☐ Existing Communication links that are currently in place for our account (if applicable).

☐ File Transfer _____

Please complete the separate application forms for connectivity products and services, available from CFCL documentation.

☐ via Swift _____

Please complete the separate application forms for connectivity products and services, available from CFCL documentation.

☐ CFS Portal

Please complete the separate application forms for connectivity products and services, available from CFCL documentation.

5. Occasionally Registered Shares - "Valeurs Occasionnellement Nominatives" (VON) in registered form only.
6. Loyalty Bonus shares (LBS) – "Prime de fidélité" (PF).
7. If Registered account is selected, "Additional account" must be selected in the "Account type" section above.

General custody reporting

(Please tick at least one box only per option)

We will use one or more of the following communications facilities.

☐ Existing

Same custody profile as account _____

(custody profile of the account, at the time request is processed by CFCL, will be replicated in the new account)

☐ File Transfer _____

Following reports will be scheduled:

– MT564/568 Corporate Action Notifications

Please specify frequency. (tick boxes as appropriate) ☐ Continuous

or ☐ Intraday ☐ 03:00 ☐ 08:00 ☐ 21:30 ☐ 22:30

– MT566 Corporate Action Confirmations Frequency: Continuous

☐ via Swift _____

– MT564/568 Corporate Action Notifications Frequency: Real Time

– MT566 Corporate Action Confirmations Frequency: Real Time

– MT567 Corporate Action Status and Processing Frequency: Real Time

☐ CFS Portal

Custody information will be retrieved directly on CFS Portal.

Standard rate of duty

The SDRT rate of 1.5% automatically applies to a client account if not yet certified giving only limited access to settlement services till completion of certification. In order to take advantage of the full settlement service under a 1.5% regime, clients must complete the Request for Application of the 1.5% SDRT Regime.

However, if the 0.5% SDRT rate is to apply to the main account to be opened/the main account already existing/a further (main) account to be opened, the Request for Application of the 0.5% SDRT Regime must be completed and sent to Client Onboarding & Due Diligence.

Please contact your Relationship Officer if you wish to fill in this form.

For the impacts of the SDRT regimes, please refer to the relevant CFCL publications.

Governing documents

We acknowledge receipt of CFCL's Governing Documents as amended from time to time and hereby confirm our acceptance to be bound by their terms and conditions. These Governing Documents shall also apply to all subsequent accounts to be opened upon our request by duly authenticated means of communication.

– If this "Account Application Form" is approved, we request CFCL to open on our behalf the necessary security account.

– We agree that CFCL may request additional information in relation to our institution as may be required from time to time under the applicable money laundering rules.

Authorised signature(s)

Signature	Signature
Name	Name
Title	Title
Place	Place
Date	Date