

CASCADE Sub-Account Application Form

| We, the undersigned, representing, hereby request | Registered Company name (in full) | | |
|--|---|---|--|
| Clearstream Banking AG ("CBF") to open a sub-account to main account | Main account number | Field to be completed by CBF | |
| Account type | Accounts pledged in favour of Eurex Clearing AG ¹ | | |
| (please select one account type only) | ☐ Elementary Clearing Model - Proprietary collateral ² | | |
| | Elementary Clearing Model - Client collateral | | |
| | ☐ Elementary Clearing Model ² - Client Assets Sourcebook (CASS) transactions | | |
| | Individually segregated clients ² - Individually Segregated Accounts (ISA) | | |
| | Individually segregated clients ² - ISA-CASS Transactions | | |
| | ISA Direct Clearing Member collateral ^{3,4} | | |
| | Accounts with title transfer in favo | our of Eurex Clearing AG ¹ | |
| | /501 Default fund contribution | | |
| | Default fund contribution regarding ISA Direct Clearing Member ⁴ | | |
| | ☐ Default fund contribution regarding multiple ISA Direct Clearing Members ⁴ ☐ /580 Company capital | | |
| | Individually segregated clie | ents ² (ISA) | |
| | Accounts pledged in favour of Eur | rex Clearing AG (U.S. Clearing Members only) ⁵ | |
| | FCM CFTC 22 LSOC client i | marqin collateral | |
| | FCM proprietary margin co | · · | |
| | Exchange-traded derivative transa | actions: | |
| | FCM CFTC 30.7 margin collateral | | |
| | FCM proprietary margin collateral | | |
| | PTG affiliate margin collateral | | |
| | PTG margin collateral | | |
| | We acknowledge that CBF makes no statement nor provides any advice as to the applicability, effectiveness or validity of any clearing model of Eurex Clearing AG or | | |

its terminology. We agree that CBF shall not be held liable, in this respect.

^{1.} Accounts in favour of Eurex Clearing AG can in general be in the name of the Clearing Member, Clearing Agent, ISA Direct Clearing Member or an entitled Third Party Account Holder. For further information please contact your Eurex Clearing Key Account Manager.

Asset tagging can be used.

Please specify the 5-digit Eurex Clearing AG member code.

Reference to the ISA Direct Clearing Member should be applicable equally to the Indemnified Clearing Model.

FCM (Future Commission Merchant); CFTC (U.S. Commodity Futures Trading Commission); LSOC (Legally Segregated Operationally Commingled);

Account type

(please select one account type only)

Accounts pledged/fiduciary assigned in favour of European Commodity Clearing AG (ECC)⁶

| CBF Pledged-Securities Account for |
|---|
| Provision of margin collateral on a: |
| – Standard Collateral Clearing Account; |
| – General Omnibus Collateral Clearing Account (GOS); or |
| – Simple Omnibus Collateral Clearing Account (SOS). |
| |
| Free-text field for account name (max. 18 characters) ⁹ |
| Default Fund contribution |
| Company capital (securities for fulfilment of liable equity capital requirements) |
| Individually Segregated CBF Pledged-Securities Account for |
| Provision of margin collateral on an Individually Segregated Collateral Clearing Account (ISA) |
| 5-digit ECC member ID of the ISA Non-Clearing Member |
| We acknowledge that CBF makes no statement nor provides any advice as to the applicability, effectiveness or validity of any clearing model of ECC or its terminology. We agree that CBF shall not be held liable, in this respect. |
| /560 Sub-account for the provision of collateral in the context of new obligation monitoring procedure |
| /699 Pledge account providing collateral to secure overdraft facilities with reference to CBF-i account ⁸ |
| Trustee blocked account |
| Other account |
| |
| Reason for opening an account (for other account) |
| |

(Account type may affect account name structure)

Sub-account name

Accounts in favour of ECC can only be in the name of the Institution Clearing Member.

Depending on the chosen purpose of the CBF Pledged-Securities Account, CBF assigns a predefined account name to the account. To differentiate between multiple CBF Pledged-Securities Accounts for the provision of margin collateral, the Clearing Member can fill in a free-text field. The information provided in the free-text field will be reflected in the account name.

^{8.} CBF-i accounts are the 6-series accounts on the Creation platform, which are technically and legally independent and governed by German law.

| Usage for assets (please select the appropriate category) | For proprietary assets For third party assets – Segregated ⁹ : For segregated third party assets, please indicate the details of the underlying client (to be recorded in the CBF business partner register): Underlying client name Country For third party assets – Omnibus ¹⁰ : The Omnibus account is used to co-mingle the interests of several underlying clients with no single entity/individual having a predominant and continuing interest in the assets portfolio. |
|---|--|
| Delivery versus payments (DvP) ¹¹ | We wish to participate with the requested sub-account in DvP. 12 We do not wish to participate with the requested sub-account in DvP. |
| T2S Auto-Collateralisation (T2S Auto-Coll) Service (Please configure the service on the T2S platform.) In order to be able to use this service: - The use of the T2S Auto-Coll Service at the national central bank (NCB) must be requested. - The BIC of each Collateral Providing Account must be added to the Primary Credit Memorandum Balance (CMB) of the corresponding DCA ¹³ . - An individual minimum amount for T2S Auto-Coll instructions can be specified at the national central bank (NCB). | T2S Auto-Coll Service is requested. To set up the T2S Auto-Coll Service on the T2S platform, the account must be earmarked. Please select one of the following earmarking options: EXXX (T2S Auto-Coll Service for all currencies – recommended) EEUR (T2S Auto-Coll Service for Euro only – cannot be used if the account will also serve as a Collateral Receiving Account for T2S Client-Collateralisation) In order to enable the T2S Auto-Coll Service, please enter the DCA for the sub-account: DCA reference NCB acting as T2S Auto-Coll Receiver (DE, AT, FR etc.) T2S Auto-Coll Service is not requested. This service can be configured at any time in the future. If requested, a transformation procedure involving the T2S Actors applies. |
| Free of Payment Matching 14 (If T2S Auto-Coll Service is requested, only active matching is possible.) | Please answer for both, retail and wholesale: Retail: Active matching (default option) Passive matching Wholesale: Active matching (default option) Passive matching |

 ^{9.} Subject to prior approval by CBF.
 10. Omnibus accounts for third party assets are intended to facilitate the operations of professional securities intermediaries. CBF may require disclosure of the identities of any underlying clients having a continuing interest of 25% or rower in the value of the assets deposited. In cases where there is such a predominant interest, the assets may be deposited on a separate, segregated client account.

DVP is required for T2S Auto-Collateralisation.
 Please refer to the "Cash settlement" section of the CBF Client Handbook available on our website www.clearstream.com.
 Clients can carry out their NCB configuration using the 7100 (for DCPs) / 7150 (for ICPs) forms.
 Please refer to our website www.clearstream.com under Securities Services / Settlement / Settlement services / CSD CASCADE FOP matching.

| Users of the DCP Account Operator Model | The newly requested sub-account is to be included in the DCP Account Operator Model. CBF account master of the Operator Party | |
|--|--|---|
| | | ould not to be included in the DCP Account |
| Acknowledgement | We/I, the undersigned, acknowledge receipt of CBF's General Terms and Conditions as amended from time to time and hereby confirm our/my acceptance to be bound by its terms and conditions. | |
| Settlement fails penalties | In context of the CSDR "Settlement Discpline Regime" penalties, we/I take necessary and timely action to ensure the relevant daily/monthly CBF penalties reporting will be actively subscribed to prior the new account usage. If there are questions, CBF Connectivity will be contacted. | |
| Authorised signature(s) | | |
| | Signature | Signature |
| | Name | Name |
| | Title | Title |
| | Place | Place |
| | Date | Date |