

CASCADE Sub-Account Application Form

We, the undersigned, representing,
hereby request
Clearstream Banking AG ("CBF") to
open a sub-account to main account

Registered Company name (in full)

Main account number

Field to be completed by CBF

Account type

(please select one account type only)

Accounts pledged in favour of Eurex Clearing AG¹

- Elementary Clearing Model - Proprietary collateral²
- Elementary Clearing Model - Client collateral
- Elementary Clearing Model² - Client Assets Sourcebook (CASS) transactions
- Individually segregated clients² - Individually Segregated Accounts (ISA)
- Individually segregated clients² - ISA-CASS Transactions
- ISA Direct Clearing Member collateral^{3,4} _____

Accounts with title transfer in favour of Eurex Clearing AG¹

- /501 Default fund contribution
- Default fund contribution regarding ISA Direct Clearing Member⁴
- Default fund contribution regarding multiple ISA Direct Clearing Members⁴
- /580 Company capital
- Individually segregated clients² (ISA)

Accounts pledged in favour of Eurex Clearing AG (U.S. Clearing Members only)⁵

OTC IRS transactions:

- FCM CFTC 22 LSOC client margin collateral
- FCM proprietary margin collateral

Exchange-traded derivative transactions:

- FCM CFTC 30.7 margin collateral
- FCM proprietary margin collateral
- PTG affiliate margin collateral
- PTG margin collateral

We acknowledge that CBF makes no statement nor provides any advice as to the applicability, effectiveness or validity of any clearing model of Eurex Clearing AG or its terminology. We agree that CBF shall not be held liable, in this respect.

1. Accounts in favour of Eurex Clearing AG can in general be in the name of the Clearing Member, Clearing Agent, ISA Direct Clearing Member or an entitled Third Party Account Holder. For further information please contact your Eurex Clearing Key Account Manager.
2. Asset tagging can be used.
3. Please specify the 5-digit Eurex Clearing AG member code.
4. Reference to the ISA Direct Clearing Member should be applicable equally to the Indemnified Clearing Model.
5. FCM (Future Commission Merchant); CFTC (U.S. Commodity Futures Trading Commission); LSOC (Legally Segregated Operationally Commingled); PTG (Proprietary Trading Group).

Account type

(please select one account type only)

Accounts pledged/fiduciary assigned in favour of European Commodity Clearing AG (ECC)⁶

CBF Pledged-Securities Account for

- Provision of margin collateral on a:
 - Standard Collateral Clearing Account;
 - General Omnibus Collateral Clearing Account (GOS); or
 - Simple Omnibus Collateral Clearing Account (SOS).

Free-text field for account name (max. 18 characters)⁹

- Default Fund contribution
- Company capital (securities for fulfilment of liable equity capital requirements)

Individually Segregated CBF Pledged-Securities Account for

- Provision of margin collateral on an Individually Segregated Collateral Clearing Account (ISA)

5-digit ECC member ID of the ISA Non-Clearing Member

We acknowledge that CBF makes no statement nor provides any advice as to the applicability, effectiveness or validity of any clearing model of ECC or its terminology. We agree that CBF shall not be held liable, in this respect.

- /560 Sub-account for the provision of collateral in the context of new obligation monitoring procedure
- /699 Pledge account providing collateral to secure overdraft facilities with reference to CBF-i account⁸ _____
- Trustee blocked account
- Other account

Reason for opening an account (for other account)

Sub-account name

(Account type may affect account name structure)

6. Accounts in favour of ECC can only be in the name of the Institution Clearing Member.
 7. Depending on the chosen purpose of the CBF Pledged-Securities Account, CBF assigns a predefined account name to the account. To differentiate between multiple CBF Pledged-Securities Accounts for the provision of margin collateral, the Clearing Member can fill in a free-text field. The information provided in the free-text field will be reflected in the account name.
 8. CBF-i accounts are the 6-series accounts on the Creation platform, which are technically and legally independent and governed by German law.

Users of the DCP Account Operator Model

The newly requested sub-account is to be included in the DCP Account Operator Model.

_____ CBF account master of the Operator Party

The newly requested sub-account should **not** to be included in the DCP Account Operator Model.

Acknowledgement

We/I, the undersigned, acknowledge receipt of CBF's General Terms and Conditions as amended from time to time and hereby confirm our/my acceptance to be bound by its terms and conditions.

Settlement fails penalties

In context of the CSDR "Settlement Discipline Regime" penalties, we/I take necessary and timely action to ensure the relevant daily/monthly CBF penalties reporting will be actively subscribed to prior the new account usage. If there are questions, CBF Connectivity will be contacted.

Authorised signature(s)

_____ Signature	_____ Signature
_____ Name	_____ Name
_____ Title	_____ Title
_____ Place	_____ Place
_____ Date	_____ Date