Swift Form

Principal Subscription Form for CFCL clients

Clearstream | DEUTSCHE BÖRSE GROUP

> **Please return your subscription form, completed and signed to the following address:**
> **Clearstream Fund Centre, Account Administration, L-2967 Luxembourg**

Name of institution: _____
Department: _____
Address: _____
City: _____ Post code: _____ Country: _____
Main Clearstream Fund Centre account number: _____

Contact details

Business contact person: Technical contact person:
Name: _____   Name: _____
Telephone: _____   Telephone: _____
Email: _____   Email: _____

Swift Key Administrator: Other (optional):
Name: _____   Name: _____
Telephone: _____   Telephone: _____
Email: _____   Email: _____

Authorised signatures

Signature: _____   Signature: _____
Name: _____   Name: _____
Title: _____   Title: _____
Date: _____   Date: _____

If you need assistance or if you require changes to your subscription details in the future (for example, change of address, account links, contact details etc.), Please contact our dedicated Vestima team:

	Vestima Execution Support	Vestima Asia Helpdesk	General Enquiries
Telephone:	+352-2433-2833	+65-6597-1683	+352-243-13814
Email:	csvestima@clearstream.com	vestima.asia@clearstream.com	investfunds@clearstream.com

Which PRODUCTION BIC code would you prefer to use for sending instructions to and receiving reports from Clearstream Funds Centre?

☐ BIC address: _____ _____ _____
☐ Copy BIC address(es): _____ _____ _____
_____ _____ _____

Optionally, enter the Main and Matching BIC

Main BIC address: _____

Matching BIC address: _____

Which Clearstream Fund Centre Account Number(s) are to be associated with your Swift BIC? If a securities account number is mentioned only, please be advised that associated cash account will be automatically linked to your Swift BIC as soon as it is opened (If reporting requirements are different for specific accounts, this page should be duplicated accordingly.)

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Schedule A - Securities reporting

Please check (✔) to indicate your required option in each case:

	MT535 Statement of Holdings		MT536 Statement of Transactions		MT537 Statement of Pending Transactions		MT586 Statement of Settlement Allegements		MT54x Settlement Confirmation	MT548 Settlement Status and Processing Advice	MT578 Settlement Allegement
	C[a]	D[a]	C	D	C	D	C	D	D	D	D
ALL intraday reports	☐	☐		☐	☐	☐	☐	☐	☐	☐	☐
Continuous[b]						☐		☐			
Real time[b]									☐	☐	☐
Intraday reports - available times:											
23:00	☐	☐		☐	☐	☐	☐	☐			
00:00	☐	☐		☐	☐	☐	☐	☐			
01:00	☐	☐		☐	☐	☐	☐	☐			
02:00	☐	☐		☐	☐	☐	☐	☐			
03:00	☐	☐		☐	☐	☐	☐	☐			
04:00	☐	☐		☐	☐	☐	☐	☐			
05:00	☐	☐		☐	☐	☐	☐	☐			
06:30	☐	☐		☐	☐	☐	☐	☐			
07:30	☐	☐		☐	☐	☐	☐	☐			
08:30	☐	☐		☐	☐	☐	☐	☐			
09:30	☐	☐		☐	☐	☐	☐	☐			
10:30	☐	☐		☐	☐	☐	☐	☐			
11:30	☐	☐		☐	☐	☐	☐	☐			
12:00	☐	☐									
12:30	☐	☐		☐	☐	☐	☐	☐			
13:00	☐	☐		☐	☐	☐	☐	☐			
13:30	☐	☐		☐	☐	☐	☐	☐			
14:30	☐	☐		☐	☐	☐	☐	☐			
15:30	☐	☐		☐	☐	☐	☐	☐			
16:00	☐	☐		☐	☐	☐	☐	☐			
16:30	☐	☐		☐	☐	☐	☐	☐			
17:00	☐	☐		☐	☐	☐	☐	☐			
18:00	☐	☐		☐	☐	☐	☐	☐			
19:00	☐	☐		☐	☐	☐	☐	☐			
21:15	☐	☐		☐	☐	☐	☐	☐			
21:30					☐	☐	☐	☐			
End of day[c] Daily	☐		☐		☐	☐	☐	☐			
Weekly			☐		☐		☐				
Monthly			☐		☐		☐				
Quarterly	☐										
Yearly	☐										

	MT535 Statement of Holdings	MT536 Statement of Transactions	MT537 Statement of Pending Transactions	MT586 Statement of Settlement Allegements	MT54x Settlement Confirmation	MT548 Settlement Status and Processing Advice	MT578 Settlement Allegement
Include:							
Consolidated Statement	☐	If ticked provide Consolidated Account Name_____ Consolidate Sorting by ISIN ☐ Common Code ☐					
Custody Proceeds					☐		
Unblocking/delivery for corporate action					☐		
Zero Aggregate Balances	☐						
Zero Sub-Balances	☐						
Valuation currency^d:	D/Q U E						
Aggregate Balance Holding	☐ ☐ ☐						
Total Account Holding Value	☐ ☐						
International/ transactions with Euroclear)				☐			☐
Domestic				☐			☐
Status reporting^e:			ONE ALL				
			☐ ☐				
Requested start dates of reporting^f:							
Start date (dd/mm/yy)	_____	_____	_____	_____	_____	_____	_____

a. C = Complete Report; D = Delta report showing changes since the last report.
b. If real time or continuous is selected for a report any other intraday subscription for the same report will be ignored.
c. Consolidated.
d. Only one currency can be indicated in each case. D/Q = Denomination/Quotation currency; U = USD; E = EUR.
e. For a list of the 4 status codes, the various reason codes and their possible combinations, see the CFS via Swift User Guide.
f. To request the end of ISO15022 PRODUCTION reporting, please send a Swift MT599 message specifying your receiving BIC and the requested end date.

Please check (✔) to indicate your required option in each case:

	MT940, camt.052				MT950, camt.053				MT290, camt.105		MT935, camt.053	
	Money Suspense		Money Suspense for Value Today		Money Statement		Cash Balances		Notification of Fees		Notification of Interest	
	Cᵃ	Dᵃ	C	D	C	D	C	D	C	D	C	D
ALL intraday reports	☐		☐		☐	☐	☐	☐				
Intraday reports - available times:												
23:00	☐		☐		☐	☐	☐	☐				
00:00	☐		☐		☐	☐	☐	☐				
01:00	☐		☐		☐	☐	☐	☐				
02:00	☐		☐		☐	☐	☐	☐				
03:00	☐		☐		☐	☐	☐	☐				
04:00	☐		☐		☐	☐	☐	☐				
05:00	☐		☐		☐	☐	☐	☐				
06:30	☐		☐		☐	☐	☐	☐				
07:30	☐		☐		☐	☐	☐	☐				
08:30	☐		☐		☐	☐	☐	☐				
09:30	☐		☐		☐	☐	☐	☐				
10:30	☐		☐		☐	☐	☐	☐				
11:30	☐		☐		☐	☐	☐	☐				
12:00	☐		☐		☐	☐	☐	☐				
13:00	☐		☐		☐	☐	☐	☐				
13:30	☐		☐		☐	☐	☐	☐				
14:30	☐		☐		☐	☐	☐	☐				
15:30	☐		☐		☐	☐	☐	☐				
16:00	☐		☐		☐	☐	☐	☐				
16:30	☐		☐		☐	☐	☐	☐				
17:00	☐		☐		☐	☐	☐	☐				
18:00	☐		☐		☐	☐	☐	☐				
19:00	☐				☐	☐	☐	☐				
21:15	☐				☐	☐	☐	☐				
21:30	☐											
End of dayᵇ Daily	☐				☐		☐		☐			
Weekly	☐				☐		☐					
Monthly	☐				☐		☐				☐	

	MT940, camt.052				MT950, camt.053				MT290, camt.105		MT935, camt.053	
	Money Suspense		Money Suspense for Value Today		Money Statement		Cash Balances		Notification of Fees		Notification of Interest	
	C[a]	D[a]	C	D	C	D	C	D	C	D	C	D
Delicery Channel	✓		✓		✓		✓		✓		✓	
Swift[c]												
Include:												
All currencies	☐		☐		☐		☐				☐	
For the individual currency options, please check (✓) the currencies from the list below:												
AED - United Arab Emirates Dirham	☐		☐		☐		☐				☐	
AMD - Armenian Dram	☐		☐		☐		☐				☐	
ARS - Argentine Peso	☐		☐		☐		☐				☐	
AUD - Australian Dollar	☐		☐		☐		☐				☐	
BGN - Bulgarian Lev	☐		☐		☐		☐				☐	
BRL - Brazilian Real	☐		☐		☐		☐				☐	
BWP - Botswana Pula	☐		☐		☐		☐				☐	
CAD - Canadian Dollar	☐		☐		☐		☐				☐	
CHF - Swiss Franc	☐		☐		☐		☐				☐	
CNY - Chinese Yuan	☐		☐		☐		☐				☐	
CZK - Czech Koruna	☐		☐		☐		☐				☐	
DKK - Danish Krone	☐		☐		☐		☐				☐	
EUR - Euro	☐		☐		☐		☐				☐	
GBP - Pound Sterling	☐		☐		☐		☐				☐	
HKD - Hong Kong Dollar	☐		☐		☐		☐				☐	
HUF - Hungarian Forint	☐		☐		☐		☐				☐	
IDR - Indonesian Rupiah	☐		☐		☐		☐				☐	
ILS - Israeli Shekel	☐		☐		☐		☐				☐	
ISK - Icelandic Krona	☐		☐		☐		☐				☐	
JPY - Japanese Yen	☐		☐		☐		☐				☐	
KRW - Korean Won	☐		☐		☐		☐				☐	
KZT - Kazakh Tenge	☐		☐		☐		☐				☐	
MXN - Mexican Peso	☐		☐		☐		☐				☐	
MYR - Malaysian Ringgit	☐		☐		☐		☐				☐	
NOK - Norwegian Krone	☐		☐		☐		☐				☐	
NZD - New Zealand Dollar	☐		☐		☐		☐				☐	
PHP - Philippine Peso	☐		☐		☐		☐				☐	
PLN - Polish Zolty	☐		☐		☐		☐				☐	
RON - Romanian Leu	☐		☐		☐		☐				☐	
RUB - Russian Rouble	☐		☐		☐		☐				☐	
SAR - Saudi Riyal	☐		☐		☐		☐				☐	
SEK - Swedish Krona	☐		☐		☐		☐				☐	
SGD - Singapore Dollar	☐		☐		☐		☐				☐	
THB - Thai Baht	☐		☐		☐		☐				☐	
TRY - Turkish Lira	☐		☐		☐		☐				☐	
USD - U.S. Dollar	☐		☐		☐		☐				☐	
XAU - Gold	☐		☐		☐		☐				☐	
ZAR - South African Rand	☐		☐		☐		☐				☐	
Anticipated Net Balances					☐		☐					
Zero balances					☐		☐					

	MT940, camt.052				MT950, camt.053				MT290, camt.105		MT935, camt.053	
	Money Suspense		Money Suspense for Value Today		Money Statement		Cash Balances		Notification of Fees		Notification of Interest	
	C[a]	D[a]	C	D	C	D	C	D	C	D	C	D
					☐CFCL Ref. ☐ISIN ☐Common Code[d]							
Status:	Please not that this report will only report the latest status.											

Requested start dates of reporting[e]:

Start date (dd/mm/yy) _____ | _____ | _____ | _____ | _____ | _____

a. C = Complete Report; D = Delta report showing changes since the last report.
b. Kindly be advised that in the event no end-of-day report frequency has been specified, the final intraday timing must be selected.
c. The supported format for Swift is ISO 15022.
d. Only choice can be indicated.
e. To request the end of ISO15022 PRODUCTION reporting, please send a Swift MT599 message specifying your receiving BIC and the requested end date.

Schedule C - Cash reporting - II

Do you want to receive? (check (✔) one only)

☐   MT900 (debit) only   ☐   MT910 (credit) only   ☐   both MT900 and MT910

To specify the information that you want to receive, please check (✔) one or more of the following types:

☐   1   Client cash instructions
☐   2   Clearstream cash instructions
☐   3   Client securities instructions
☐   4   Clearstream securities instructions

For the currency options, please check (✔) the currencies from the list below:

☐		Report all currencies	☐	GBP	Pound Sterling	☐	PLN	Polish Zolty
☐	AED	United Arab Emirates Dirham	☐	HKD	Hong Kong Dollar	☐	RON	Romanian Leu
☐	AMD	Armenian Dram	☐	HUF	Hungarian Forint	☐	RUB	Russian Rouble
☐	ARS	Argentine Peso	☐	IDR	Indonesian Rupiah	☐	SAR	Saudi Riyal
☐	AUD	Australian Dollar	☐	ILS	Israeli Shekel	☐	SEK	Swedish Krona
☐	BGN	Bulgarian Lev	☐	ISK	Icelandic Krona	☐	SGD	Singapore Dollar
☐	BRL	Brazilian Real	☐	JPY	Japanese Yen	☐	THB	Thai Baht
☐	BWP	Botswana Pula	☐	KRW	Korean Won	☐	TRY	Turkish Lira
☐	CAD	Canadian Dollar	☐	KZT	Kazakh Tenge	☐	USD	U.S. Dollar
☐	CHF	Swiss Franc	☐	MXN	Mexican Peso	☐	XAU	Gold
☐	CNY	Chinese Yuan	☐	MYR	Malayasian Ringgit	☐	ZAR	South African Rand
☐	CZK	Czech Koruna	☐	NOK	Norwegian Krone			
☐	DKK	Danish Krone	☐	NZD	New Zealand Dollar			
☐	EUR	Euro	☐	PHP	Philippine Peso			

Schedule D - Income Pre-Advice reporting (IPAR)

Report options

Please check (✓) to indicate your required option or check the All box to select all:

Swift Event indicator (CAEV) and description

☐		All
☐	ACCU	Accumulation
☐	BIDS	Repurchase Offer
☐	BONU	Bonus Automatic
☐	BPUT	Redemption at the Holder's Option
☐	CAPD	Capital Distribution
☐	CAPG	Capital Gains Distribution
☐	CONS	Solicitation of a Holder's Consent
☐	CONV	Conversion Option
☐	DECR	Decrease in value
☐	DRIP	Dividend Reinvestment
☐	DVCA	Cash Dividend
☐	DVOP	Dividend Option
☐	DVSE	Stock Dividend
☐	EXOF	Exchange Offer

☐	EXRI	Bonus Rights
☐	LIQU	Liquidation
☐	MRGR	Merger
☐	PCAL	Partial Redemption
☐	PPMT	Instalment Call
☐	PRIO	Subscription Offer without Rights
☐	REDM	Final Maturity
☐	RHDI	Rights Distribution
☐	SHPR	Shares Premium Dividend
☐	SOFF	Demerger/Spin-off
☐	SPLF	Stock Split
☐	SPLR	Reverse Stock Split
☐	TEND	Tender Offer/Purchase Offer

Pending trades option: Please check (✓) one box only

☐ Exclude pending trades   ☐ Include pending trades

Days in advance   Please indicate the number of days before pay date for Income Pre-Advices to be reported
Days in advance (1 to 5): _____

Currency options

Please check (✔) the box to the left of each currency required or check the Report all currencies box to select all currencies.

☐		Report all currencies	☐	GBP	Pound Sterling	☐	PLN	Polish Zolty
☐	AED	United Arab Emirates Dirham	☐	HKD	Hong Kong Dollar	☐	RON	Romanian Leu
☐	AMD	Armenian Dram	☐	HUF	Hungarian Forint	☐	RUB	Russian Rouble
☐	ARS	Argentine Peso	☐	IDR	Indonesian Rupiah	☐	SAR	Saudi Riyal
☐	AUD	Australian Dollar	☐	ILS	Israeli Shekel	☐	SEK	Swedish Krona
☐	BGN	Bulgarian Lev	☐	ISK	Icelandic Krona	☐	SGD	Singapore Dollar
☐	BRL	Brazilian Real	☐	JPY	Japanese Yen	☐	THB	Thai Baht
☐	BWP	Botswana Pula	☐	KRW	Korean Won	☐	TRY	Turkish Lira
☐	CAD	Canadian Dollar	☐	KZT	Kazakh Tenge	☐	USD	U.S. Dollar
☐	CHF	Swiss Franc	☐	MXN	Mexican Peso	☐	XAU	Gold
☐	CNY	Chinese Yuan	☐	MYR	Malayasian Ringgit	☐	ZAR	South African Rand
☐	CZK	Czech Koruna	☐	NOK	Norwegian Krone			
☐	DKK	Danish Krone	☐	NZD	New Zealand Dollar			
☐	EUR	Euro	☐	PHP	Philippine Peso			

Requested start date of reporting (dd/mm/yy):   _____

Note: The COPY reporting functionality is not available for IPAR reports. However, the original reports can be sent to multiple addresses.

Schedule E - Custody reporting[1]

Please check (✔) to indicate your required option in each case:

	MT564/568 Corporate Action[a]	MT567 Corporate Actions Status and Processing Advice	MT566 Corporate Action and Income Confirmation
Real time (delta)	☐	☐	☐
Acknowledgement of MT565 messages		☐	
Include all media channels[b]		☐ Specify BIC: _____	
Confirmation ITAX on trades[c]			☐
Suppress text (TRANO) from sequence C			☐
Exclude zero amounts			☐

a. Please select event types in the Appendix.
b. Will be sent to the MT565 sender's BIC by default, if another BIC is required please specify.
c. Confirmation of ITAX on trades is set per default.

Requested start date of reporting (dd/mm/yy):   _____

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1. If nothing has been selected, the following reports will be scheduled by default:
– MT564/568 Corporate Action Notifications, Frequency: Real Time
– MT566 Corporate Action Confirmations, Frequency: Real Time
– MT567 Corporate Action Status and Processing, Frequency: Real Time

Appendix

MT564/MT568 and MT566 Swift Event Indicator (CAEV) and description:

(If no selection is made, the default option is ALL events)

ALL events[a]	☐		Exclude events for securities in default				☐	
	MT564/MT568	MT566		MT564/MT568	MT566		MT564/MT568	MT566
ACCU	☐	☐	DRIP	☐	☐	PCAL	☐	☐
ACTV	☐	☐	DSCL	☐	☐	PPMT	☐	☐
BIDS	☐	☐	DVCA	☐	☐	PRIO	☐	☐
BMET[b]	☐	☐	DVOP	☐	☐	REDM	☐	☐
BONU	☐	☐	DVSE	☐	☐	RHDI	☐	☐
BPUT	☐	☐	EXOF	☐	☐	SHPR	☐	☐
CAPD	☐	☐	EXRI	☐	☐	SOFF	☐	☐
CAPG	☐	☐	INFO	☐	☐	SPLF	☐	☐
CHAN	☐	☐	LIQU	☐	☐	SPLR	☐	☐
CMET[b]	☐	☐	MEET[b]	☐	☐	TEND	☐	☐
CONS	☐	☐	MRGR	☐	☐	TREC	☐	☐
CONV	☐	☐	ODLT	☐	☐	WRTH	☐	☐
DECR	☐	☐	OTHR	☐	☐	WTRC	☐	☐
						XMET	☐	☐

a. For information about the event description, please refer to the CFS via Swift User Guide on our website www.clearstream.com.
b. Events for Proxy Voting are BMET, CMET, MEET and XMET, please do not select if you do not want to receive Proxy Voting notifications.